

# Prediction and priming across levels: Fall 2022

Brian Dillon

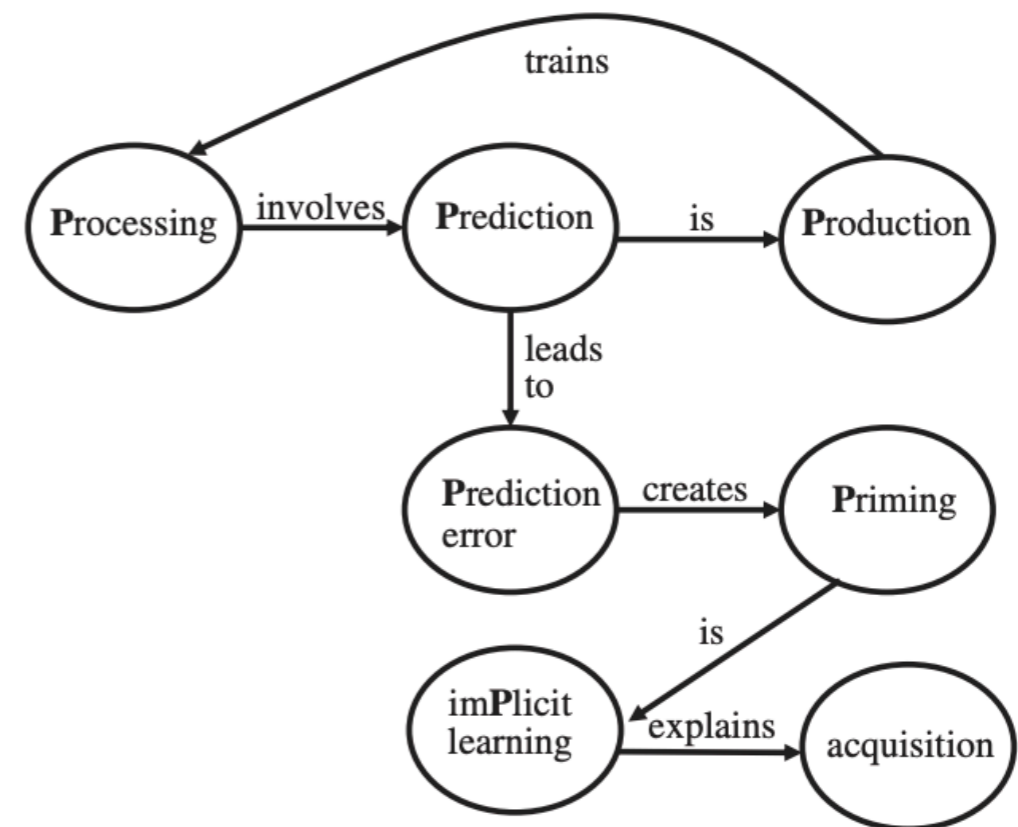
Shota Momma

University of Massachusetts, Amherst  
Department of Linguistics

4/26/2022

# Why care?

- Processing involves Prediction,
- Prediction is Production,
- Prediction leads to Prediction error,
- Prediction error creates Priming,
- Priming is imPlicit learning,
- imPlicit learning is the mechanism for acquisition/adaptation of Processing, Prediction and Production, and
- Production provides the input for training Processing.



**Figure 1.** The P-chain framework for psycholinguistics.

An integrated theory of comprehension, production and acquisition (with prediction as the central component)?

# Why care?

## What do RNN Language Models Learn about Filler–Gap Dependencies?

Ethan Wilcox, Roger Levy, Takashi Morita, Richard Futrell

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Mechanisms for handling nested dependencies in neural-network language models and humans<sup>☆</sup>

Yair Lakretz<sup>a,\*</sup>, Dieuwke Hupkes<sup>c</sup>, Alessandra Vergallito<sup>b</sup>, Marco Marelli<sup>b</sup>, Marco Baroni<sup>c,d,1</sup>, Stanislas Dehaene<sup>a,e,1</sup>

**Neural Language Models Capture Some, But Not All, Agreement Attraction Effects**

**Suhas Arehalli**  
Johns Hopkins University

**Tal Linzen**  
Johns Hopkins University

**Accounting for Agreement Phenomena in Sentence Comprehension with Transformer Language Models: Effects of Similarity-based Interference on Surprisal and Attention**

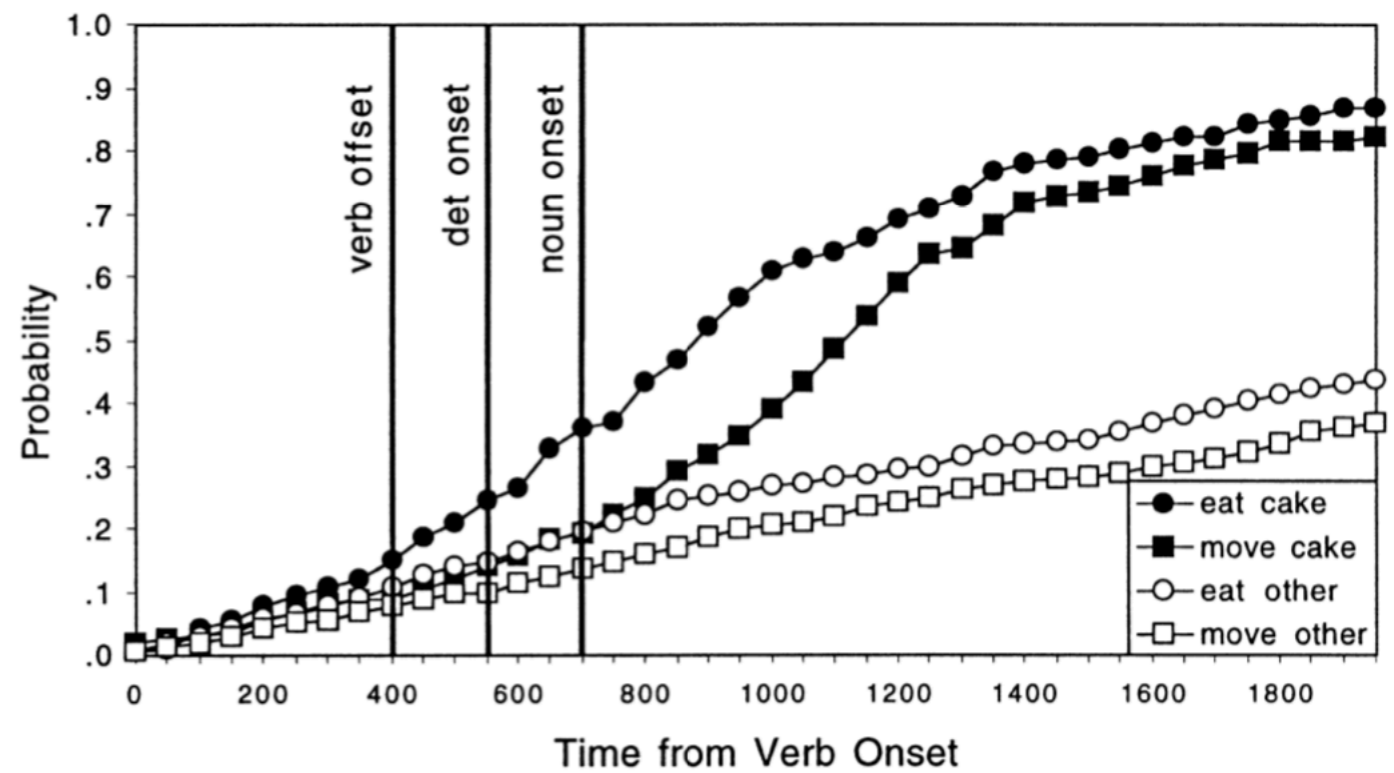
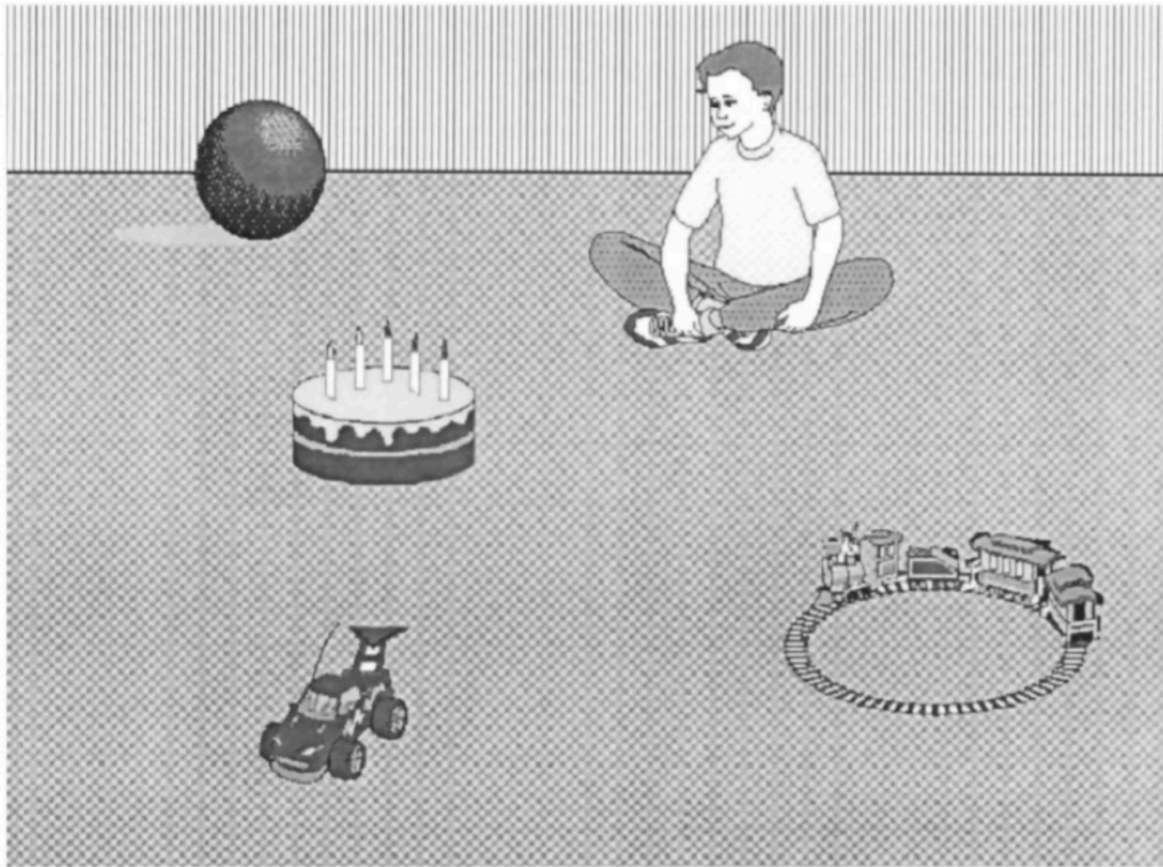
Soo Hyun Ryu, Richard L. Lewis

**Assessing the Ability of LSTMs to Learn Syntax-Sensitive Dependencies**

**Tal Linzen<sup>1,2</sup>**    **Emmanuel Dupoux<sup>1</sup>**  
LSCP<sup>1</sup> & IJN<sup>2</sup>, CNRS,  
EHESS and ENS, PSL Research University  
{tal.linzen,  
emmanuel.dupoux}@ens.fr

**Yoav Goldberg**  
Computer Science Department  
Bar Ilan University  
yoav.goldberg@gmail.com

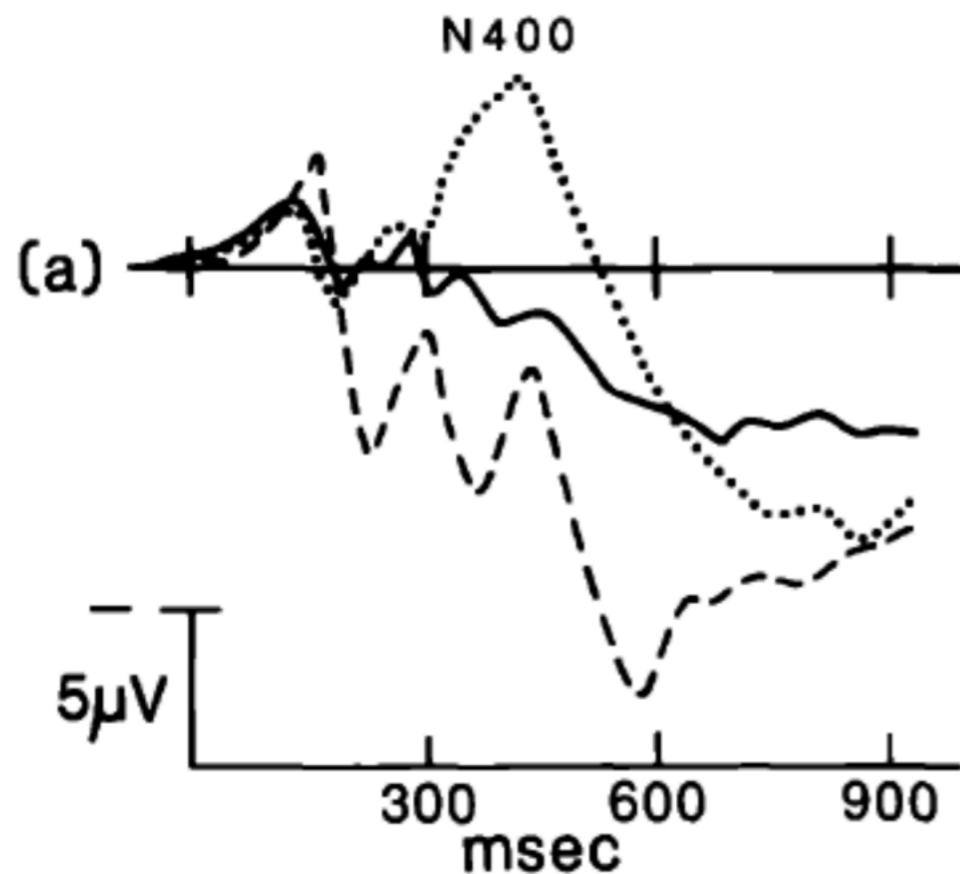
# Evidence for prediction



# N400 and prediction

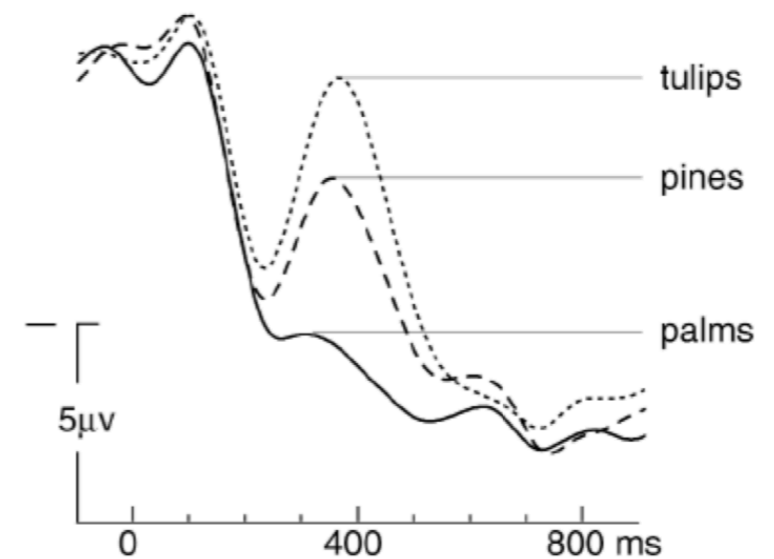
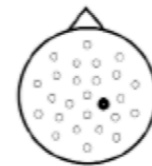
## ERPs

- He spread the warm bread with butter.
- - - He spread the warm bread with BUTTER.
- ..... He spread the warm bread with socks.



“They wanted to make the hotel look more like a tropical resort.  
So along the driveway they planted rows of \_\_\_\_\_.”

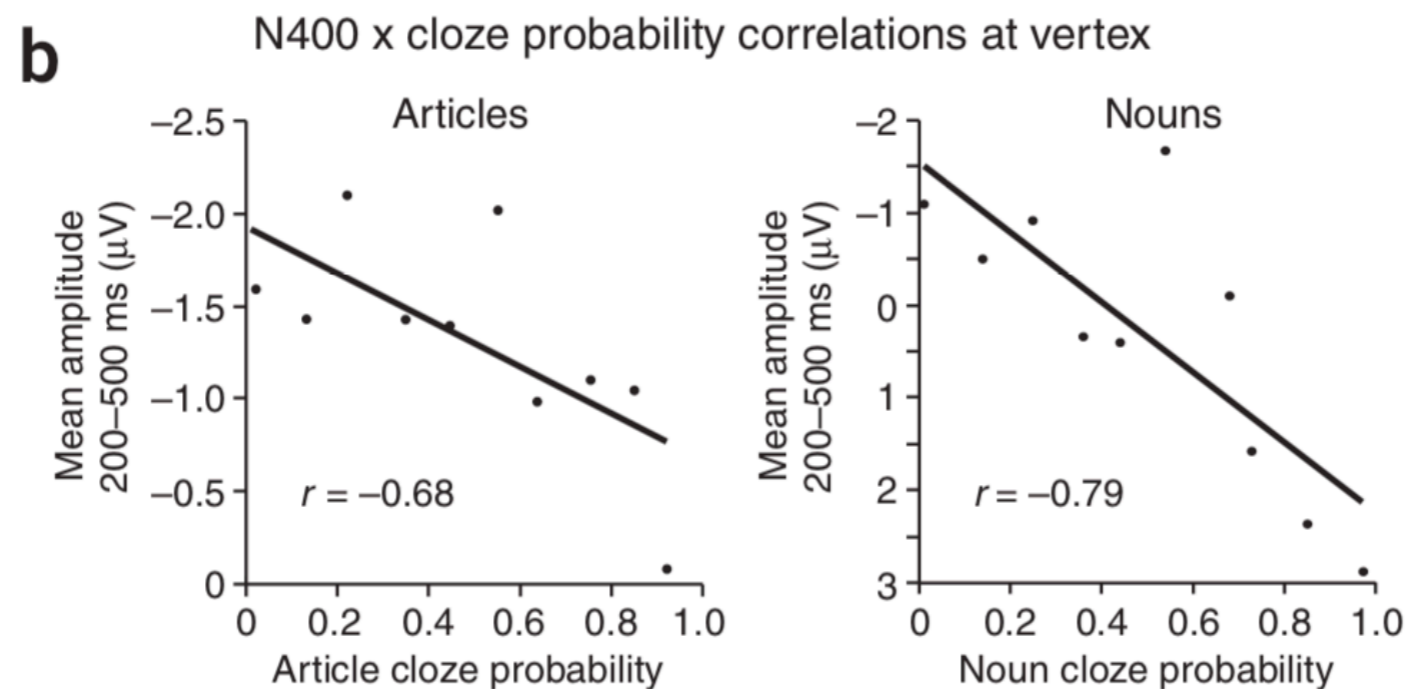
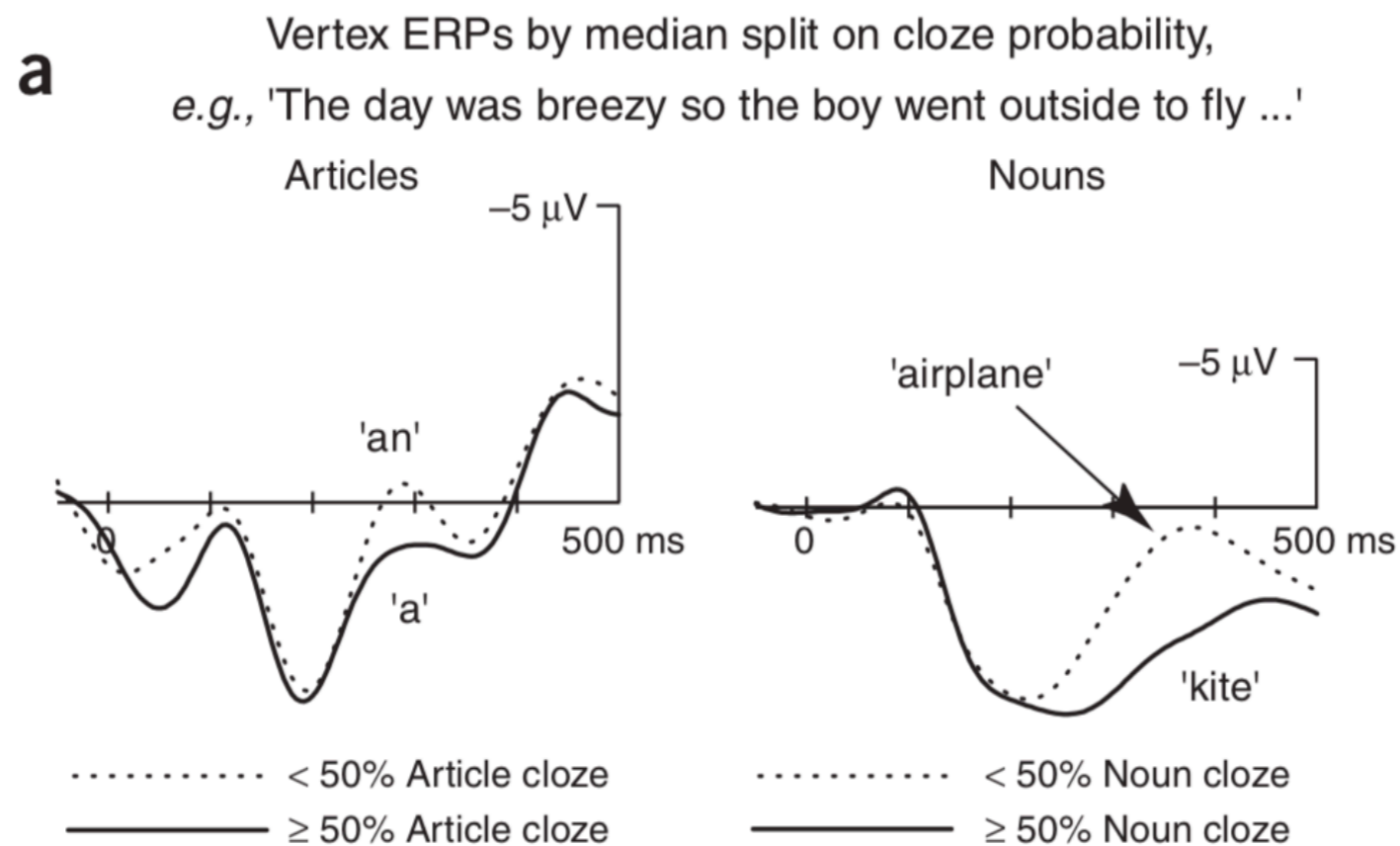
R. medial  
central



**Kutas & Hillyard (1983)**

**Federmeier & Kutas (1999)**

# Evidence for prediction



# Parallel architecture: a claim

Prediction via preactivation (across all levels) is a by-product of lexical access.

Lexical access is not just about accessing words, but it's about accessing any (linguistic) item in long term memory: Extended lexicon

Cat

Semantics: [CAT<sub>1</sub>]  
Syntax: N<sub>1</sub>  
Phonology: /kæt<sub>1</sub>/

-S

Semantics: [PLUR (X<sub>x</sub>)]<sub>y</sub>  
Morphosyntax: [N<sub>x</sub> PLUR<sub>6</sub> ]<sub>y</sub>  
Phonology: / ...<sub>x</sub> s<sub>6</sub> /<sub>y</sub>

Cats

Semantics: [PLUR (CAT<sub>1</sub>)]<sub>7</sub>  
Morphosyntax: [N<sub>1</sub> PLUR<sub>6</sub> ]<sub>7</sub>  
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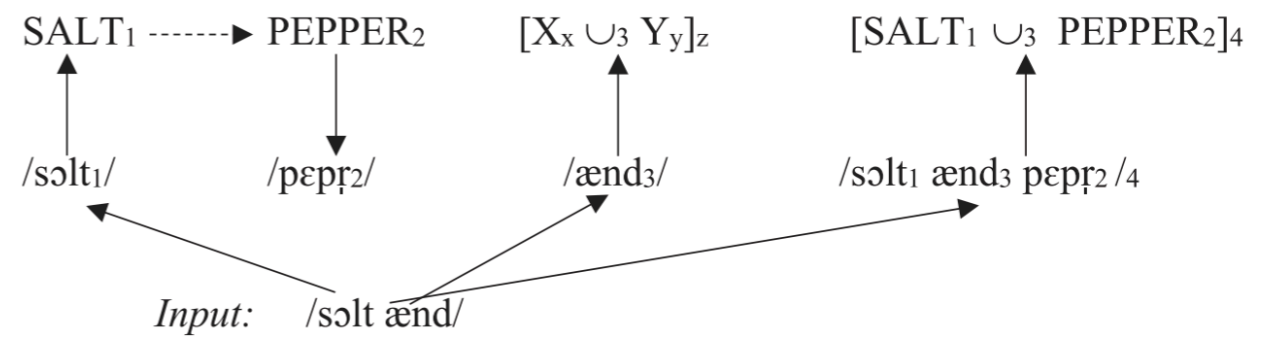
Priming and prediction both arise from activation spreading in extended lexicon

# Extended lexicon and prediction

Syntactic schema

Syntax:  $[_{NP} \text{ Det } \langle A \rangle N \dots]$

Collocation



Phonology

Semantics: a.  $INDEF_{12}$  b.  $INDEF_{13}$   
Syntax:  $Det_{12}$   $Det_{13}$   
Phonology:  $/ə_{12} C/$   $/ən_{13} V/$



→ "kite"

Discourse/  
world knowledge

"We do not explicitly formalize this here, but we do assume that discourse event and world knowledge, and visual and spatial information can prime lexical items, contingent on the contextual situation."

# Case study 1: syntactic prediction

# Left corner parsing

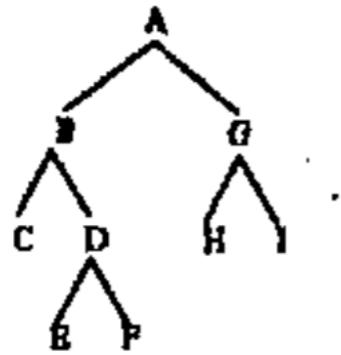
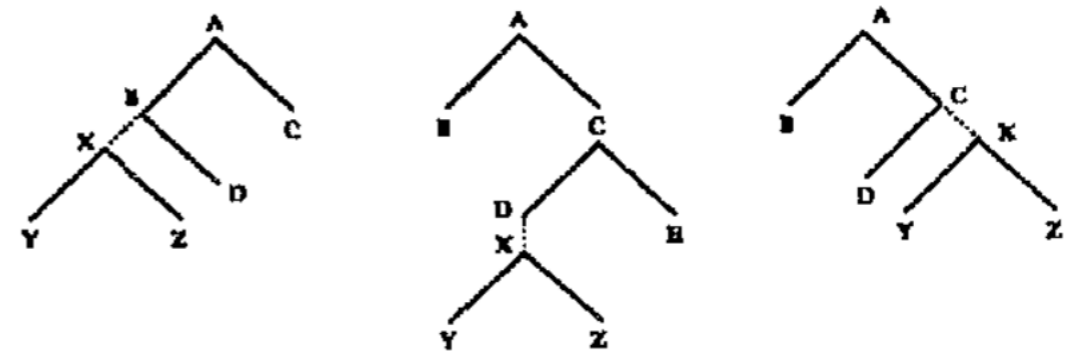


Figure 1: *A parse tree*

top-down:  
ABCDEFGHI

bottom-up:  
CEFDBHIGA

left-corner:  
CBEDFAHGI



*left-branching   center-embedded   right-branching*

Figure 2: *Branching structures*

Left-branching (head-final): Easy

Center-embedded: Hard

Right-branching (head-initial): Easy

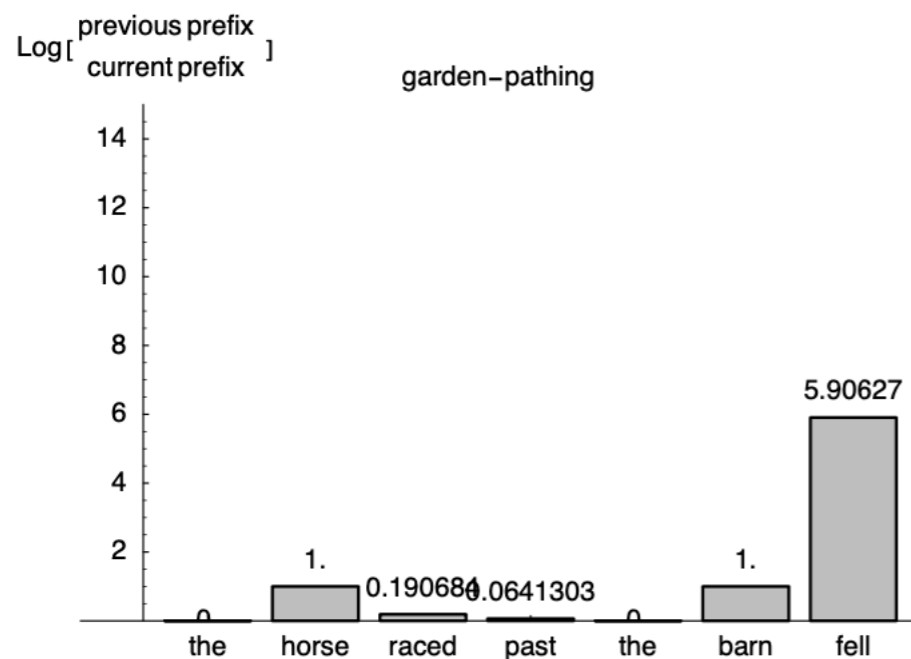
Strategy		Space required		
<i>Nodes</i>	<i>Arcs</i>	<i>Left</i>	<i>Center</i>	<i>Right</i>
Top-down	either	$O(n)$	$O(n)$	$O(1)$
Bottom-up	either	$O(1)$	$O(n)$	$O(n)$
Left-corner	standard	$O(1)$	$O(n)$	$O(n)$
Left-corner	eager	$O(1)$	$O(n)$	$O(1)$
What people do		$O(1)$	$O(n)$	$O(1)$

Resnik (1992)

Chomsky & Miller (1961)

## Garden-path

1.0	S	→	NP VP .
0.876404494831	NP	→	DT NN
0.123595505169	NP	→	NP VP
1.0	PP	→	IN NP
0.171428571172	VP	→	VBD PP
0.752380952552	VP	→	VCN PP
0.0761904762759	VP	→	VBD
1.0	DT	→	<i>the</i>
0.5	NN	→	<i>horse</i>
0.5	NN	→	<i>barn</i>
0.5	VBD	→	<i>fell</i>
0.5	VBD	→	<i>raced</i>
1.0	VCN	→	<i>raced</i>
1.0	IN	→	<i>past</i>



## SRC vs. ORC

0.33	NP	→	SPECNP NBAR
0.33	NP	→	<i>you</i>
0.33	NP	→	<i>me</i>
1.0	SPECNP	→	DT
0.5	NBAR	→	NBAR S[+R]
0.5	NBAR	→	N
1.0	S	→	NP VP
0.86864638	S[+R]	→	NP[+R] VP
0.13135362	S[+R]	→	NP[+R] S/NP
1.0	S/NP	→	NP VP/NP
1.0	VP/NP	→	V NP/NP
1.0	VP	→	V NP
1.0	V	→	<i>saw</i>
1.0	NP[+R]	→	<i>who</i>
1.0	DT	→	<i>the</i>
1.0	N	→	<i>man</i>
1.0	NP/NP	→	ε

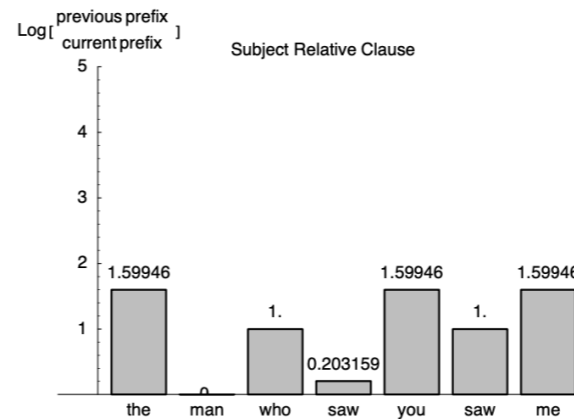


Figure 7: Subject relative clause

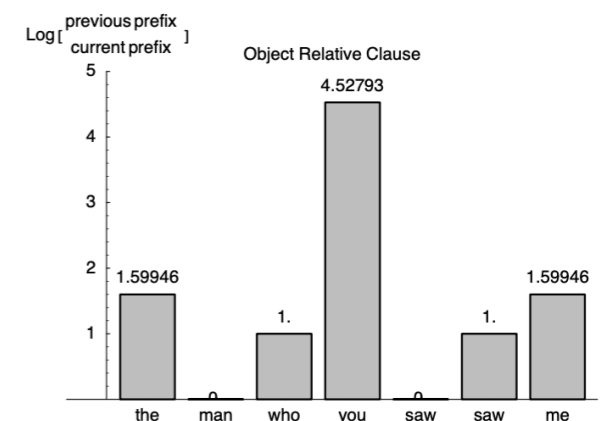
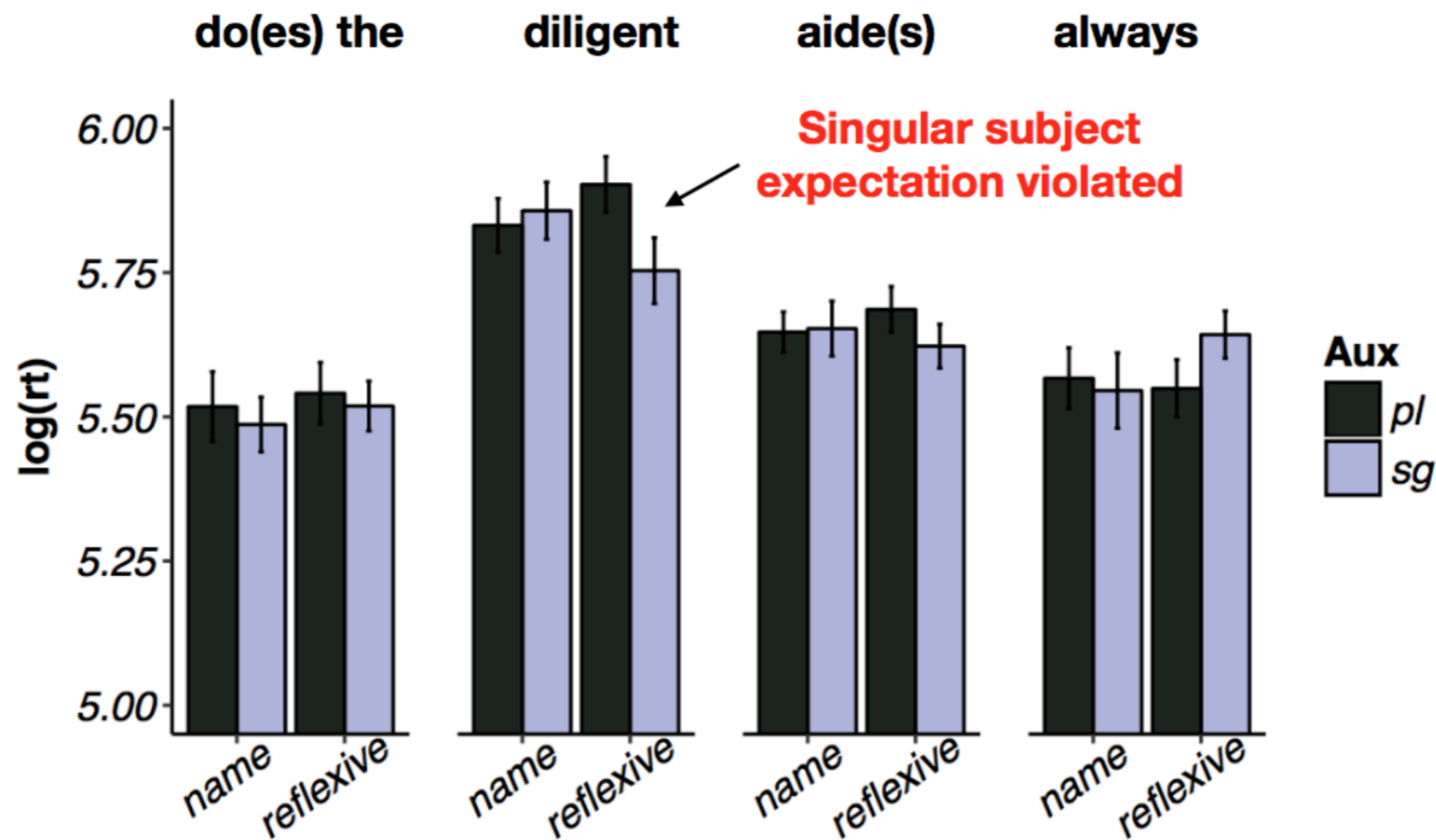


Figure 8: Object relative clause

# Syntactic prediction as treelet activations?

[<sub>NP</sub> Which information about  $\begin{Bmatrix} \textit{himself} \\ \textit{Samuel} \end{Bmatrix}$ ]  $\begin{Bmatrix} \textit{do} \\ \textit{does} \end{Bmatrix}$  the diligent aide(s) always remind the researcher about?

Which information about himself/Samuel

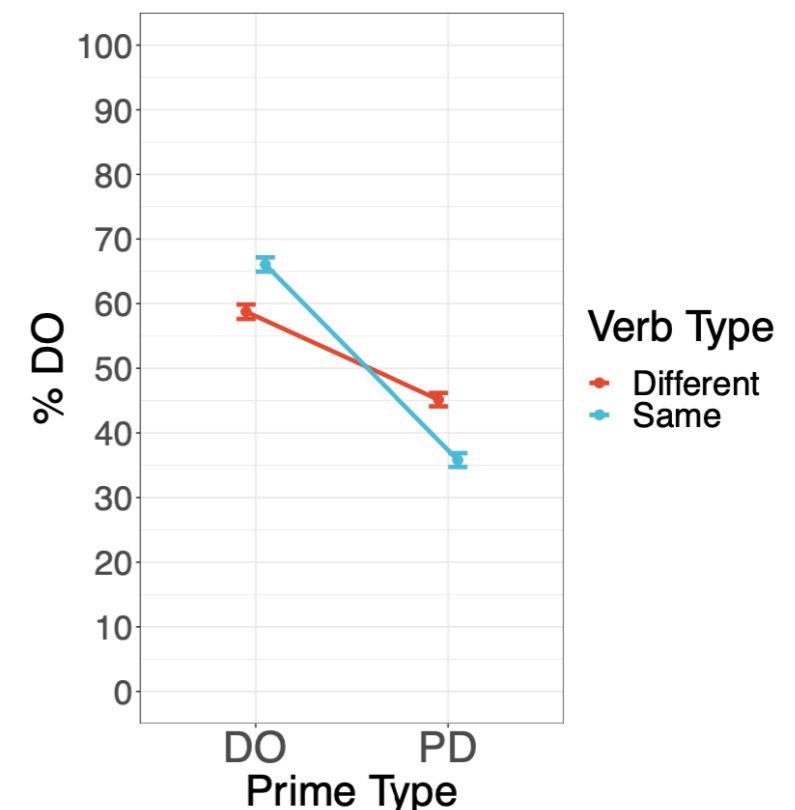


# Syntactic prediction & syntactic priming

Syntactic priming is also reflecting heightened activation of treelet/syntactic schema?

Prediction and priming arise from the same cause (activated lexical item in the extended lexicon)

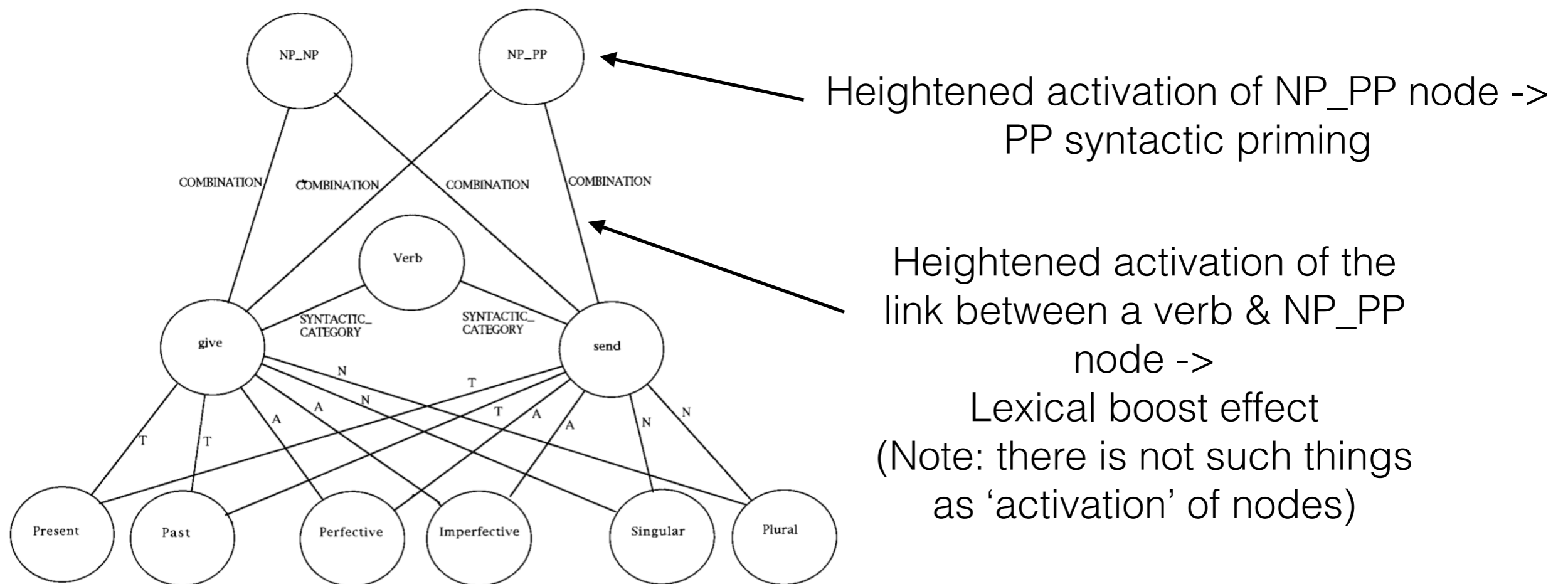
Prime	Prime Type	Verb Type
The girl gave the boy the book.	DO	Same
The girl showed the boy the book.	DO	Different
The girl gave the book to the boy.	PD	Same
The girl showed the book to the boy	PD	Different



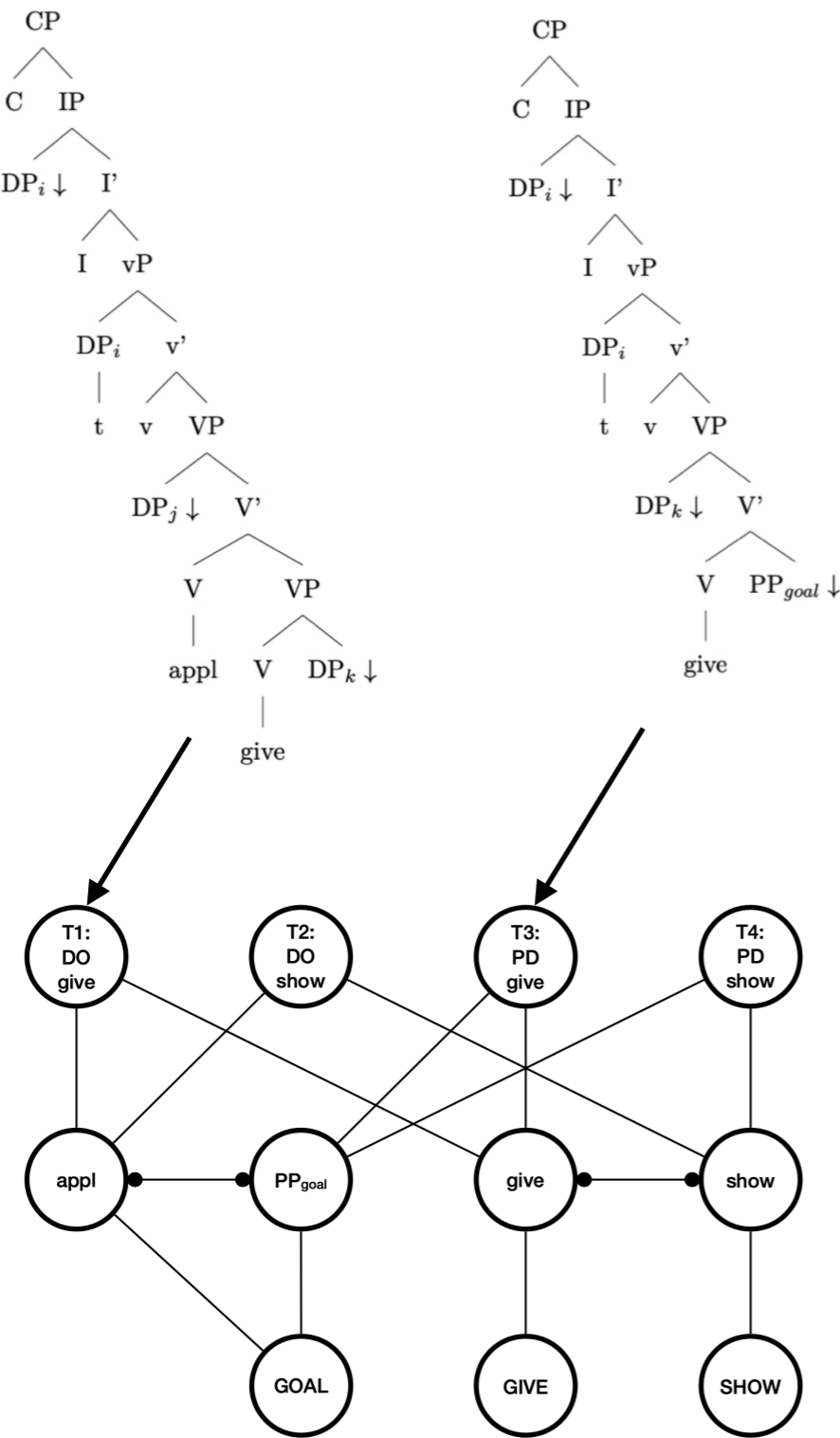
Pickering & Branigan (1998)  
Momma (under review)

# Syntactic prediction & syntactic priming

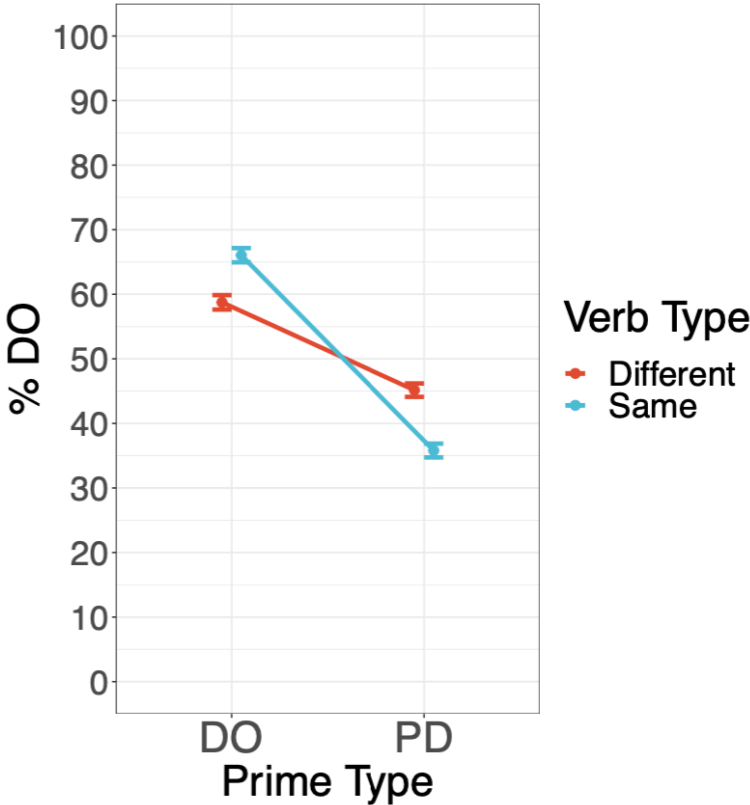
Syntactic priming & prediction are reflecting heightened activation of treelet/syntactic schema?



# Syntactic priming & 'treelet'



Prime	Prime Type	Verb Type
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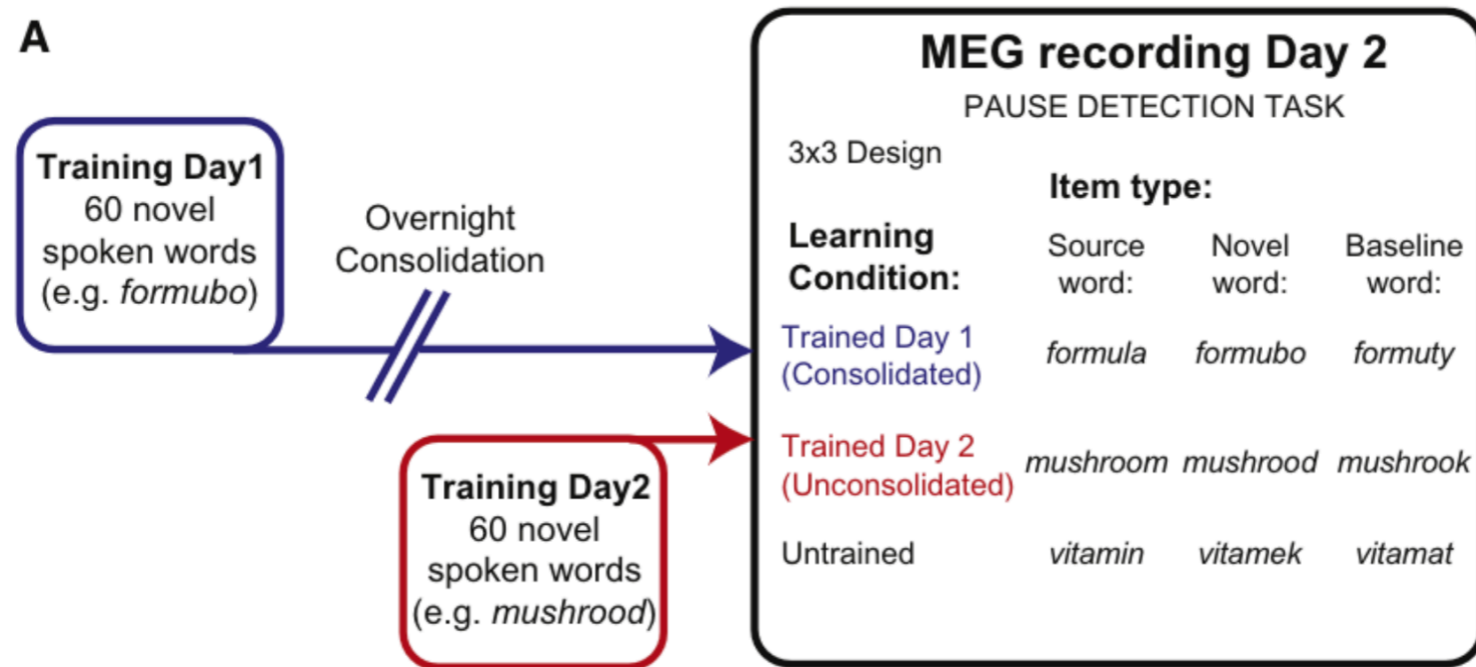
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Priming and prediction both arise from activation spreading in extended lexicon

# Case study 2: phoneme prediction

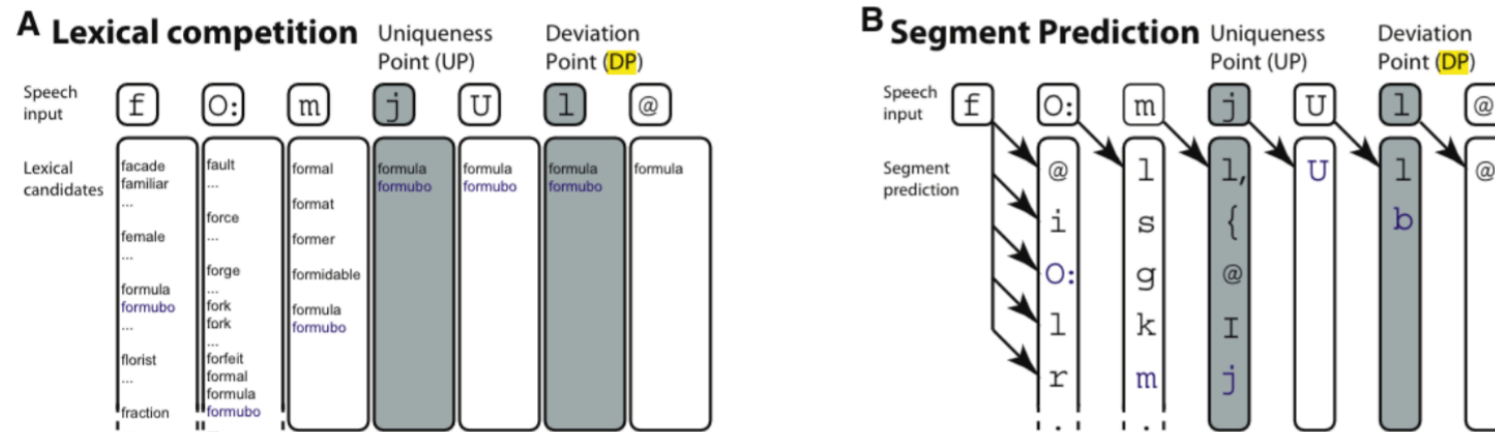
# Phoneme prediction



Newly learned words become part of the lexicon after a day (consolidation)

# Phoneme prediction

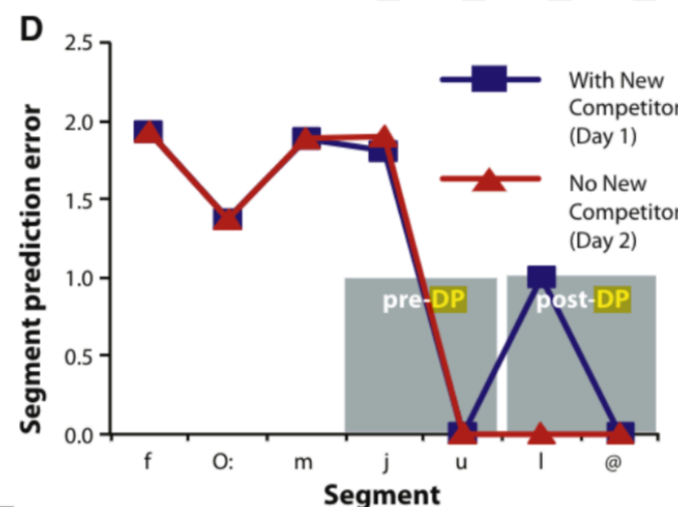
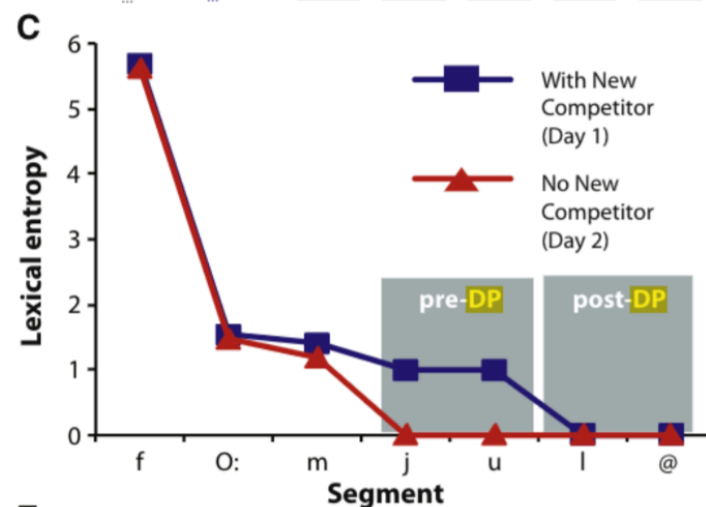
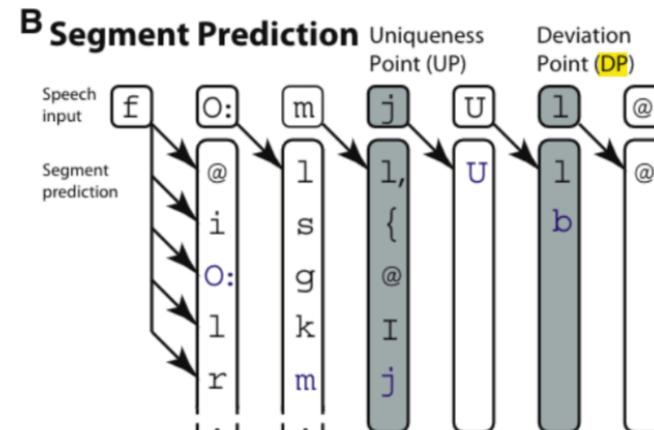
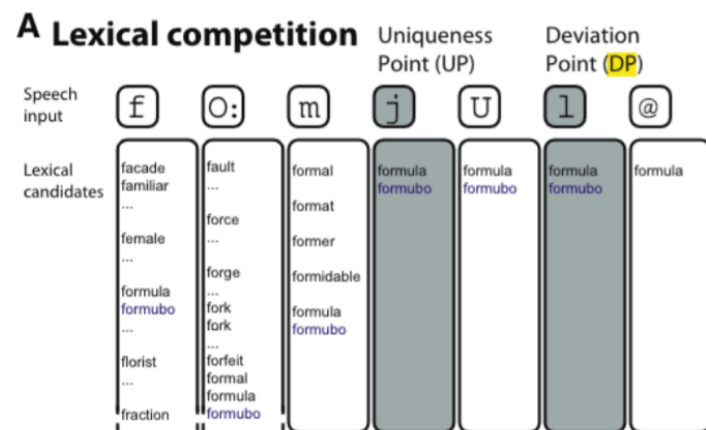
## Lexical competition vs. phoneme prediction models



After a new word (“formuba”) becomes a part of lexicon, uniqueness point shifts.

# Phoneme prediction

## Lexical competition vs. phoneme prediction models



After a new word (“formuba”) becomes a part of lexicon, uniqueness point shifts.

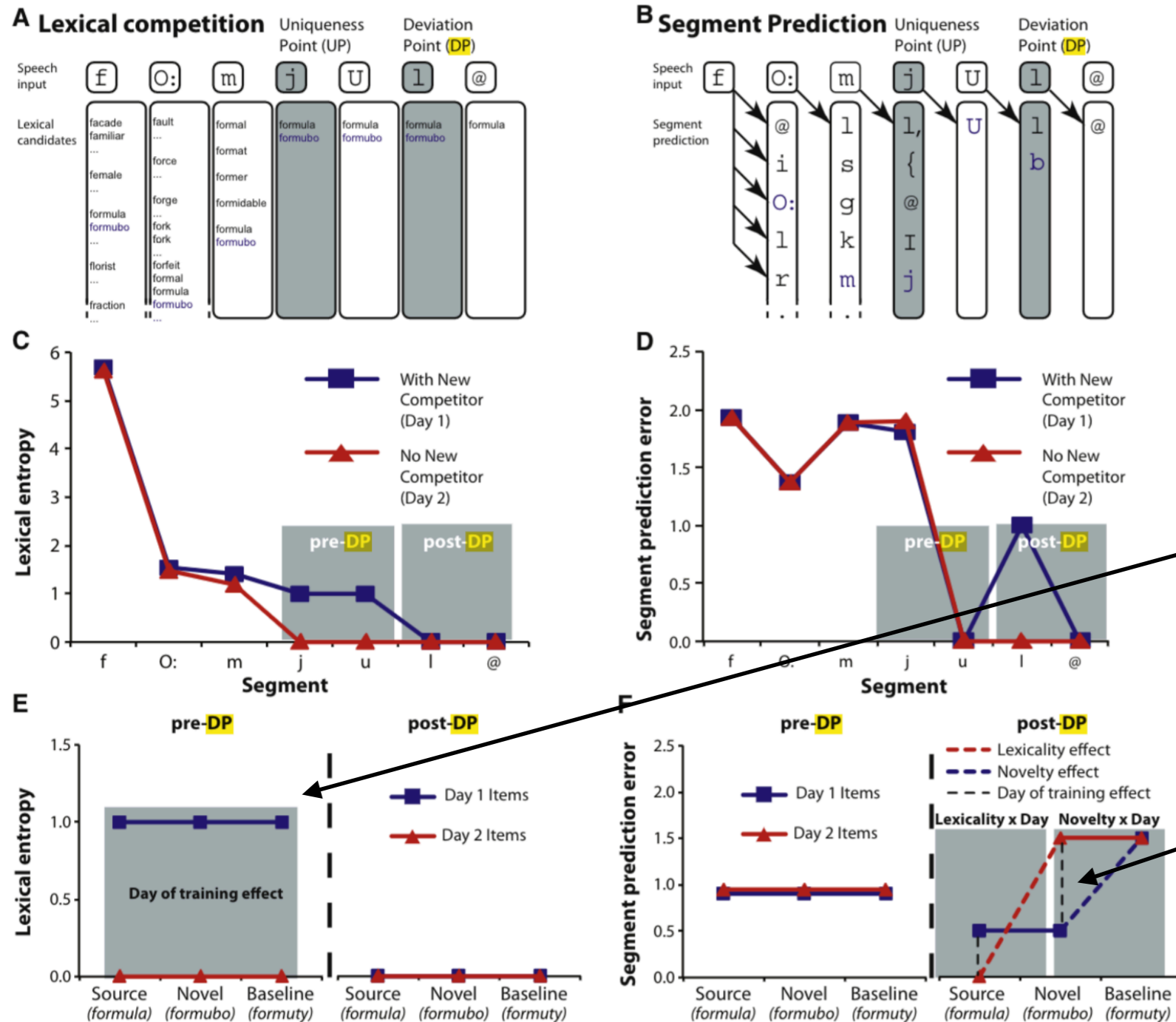
Lexical competition (cohort) model predicts that, adding ‘formuba’ to lexicon increases competition before the deviation point (the shifted uniqueness point)

In comparison, phoneme prediction model predicts that adding ‘formuba’ to lexicon makes the prediction error of /b, l/ greater at the deviation point.

Gagenepain et al. (2008)

# Phoneme prediction

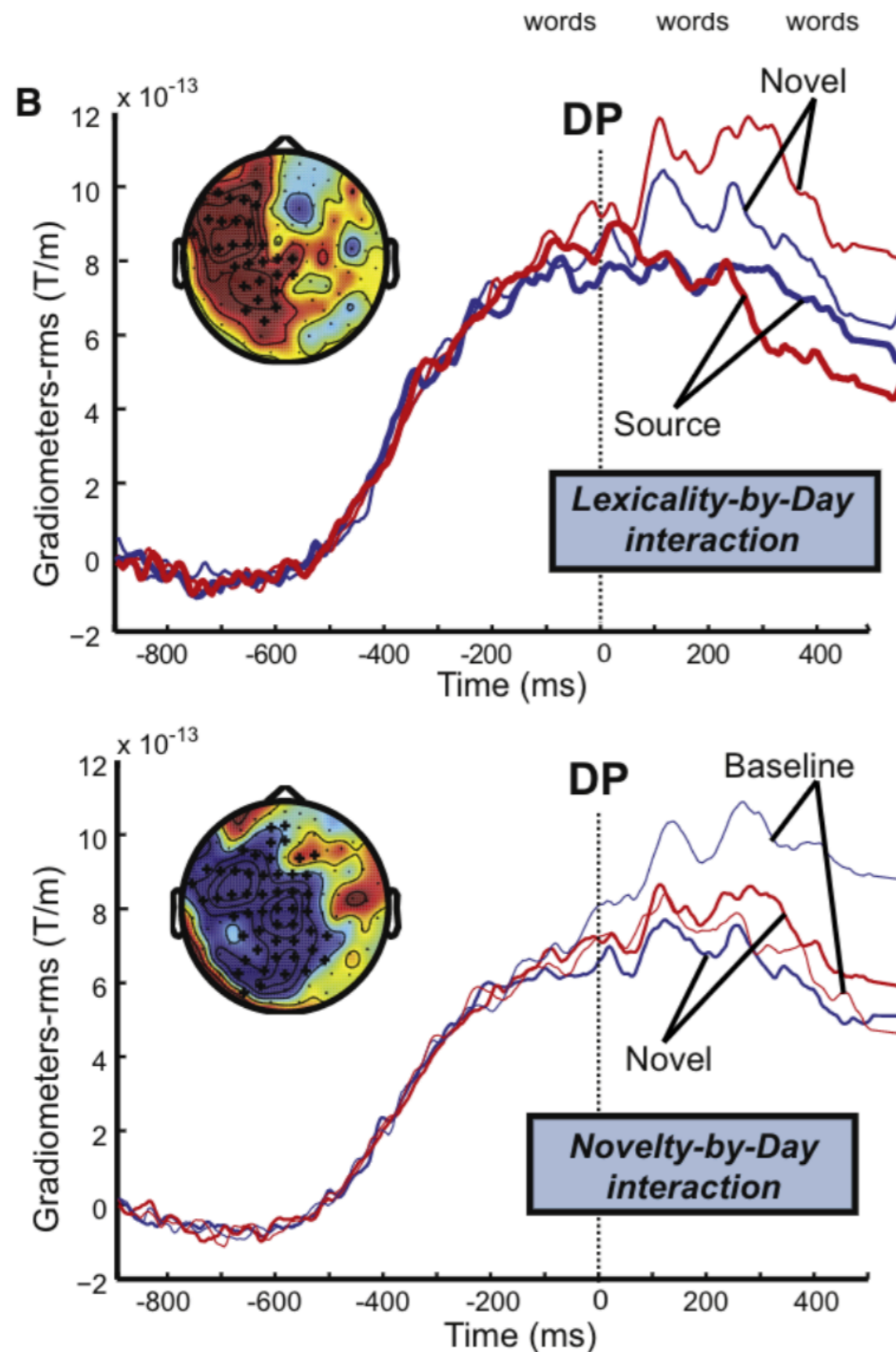
## Lexical competition vs. phoneme prediction models



Lexical competition model => Competition effect before the deviation point

Phoneme prediction model => surprisal effect at the deviation point (only for the consolidated items)

# Phoneme prediction



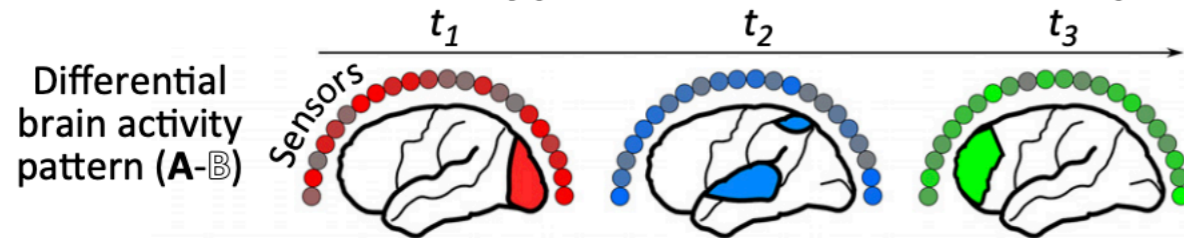
Right after the deviation point, divergence starts right after the deviation point.

Difference between 'formula' vs. 'formuba' smaller for consolidated (Day 1) than unconsolidated (Day 2) items.

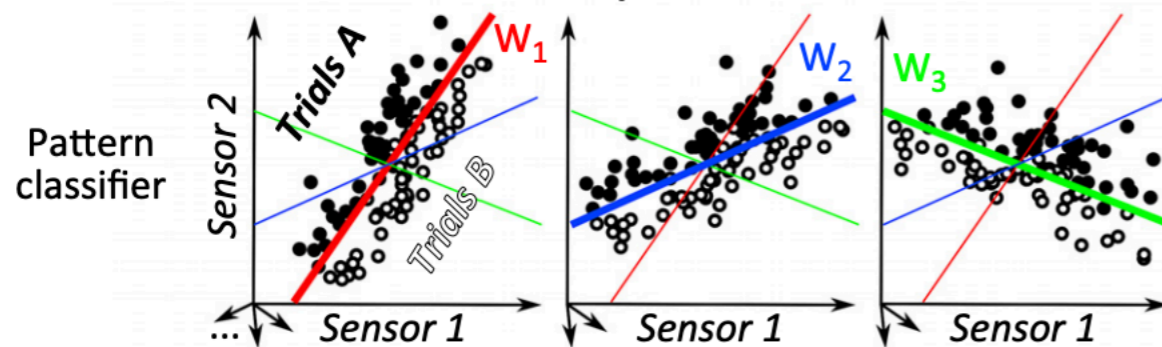
Difference between 'formuta' and 'formuty' (total nonword) greater for consolidated (Day 1) than unconsolidated (Day 2) items.

Day 1  
Day 2

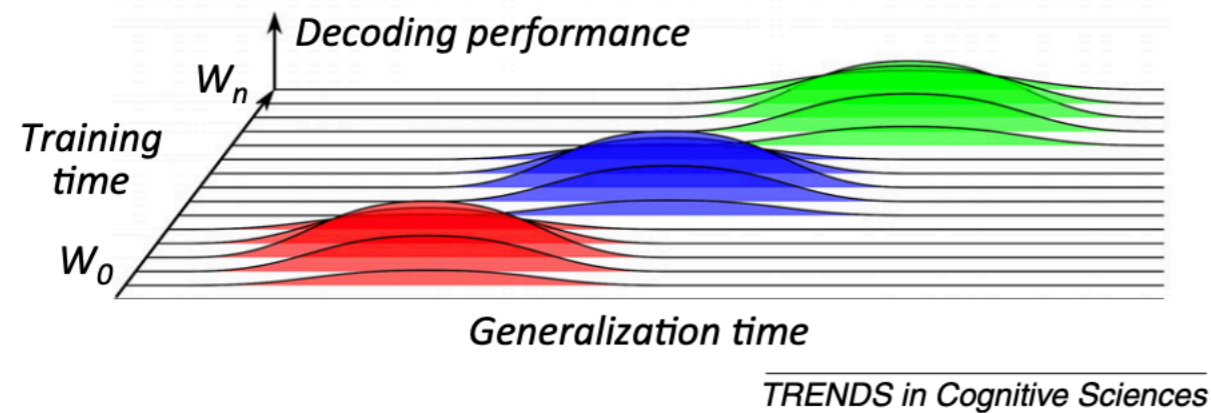
1. A differential brain activity pattern is recorded at each time point.



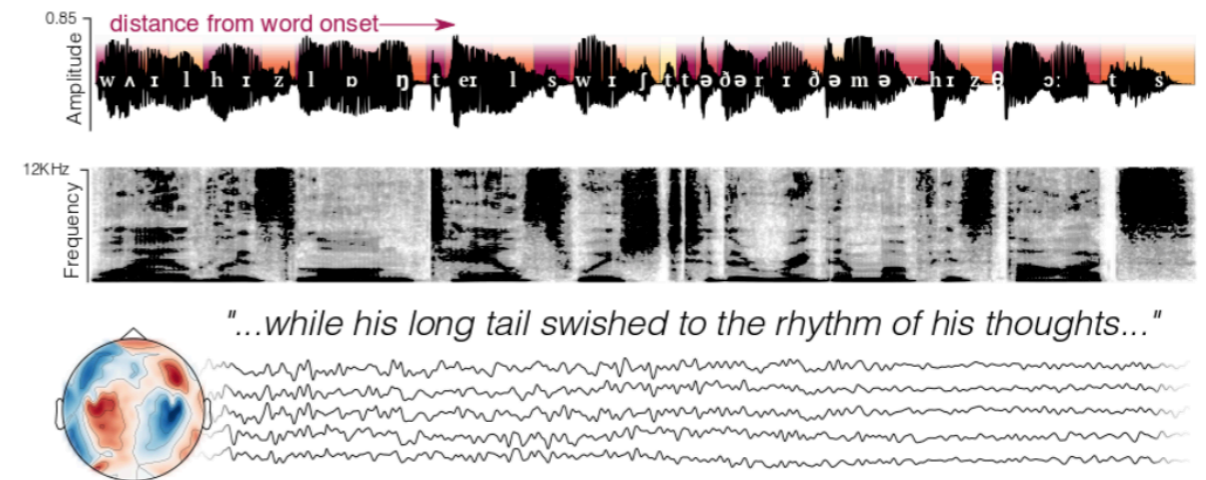
2. A classifier is trained at each time point.



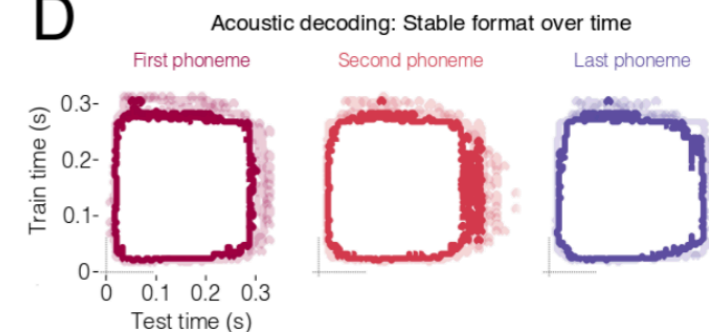
3. Each classifier is tested on its ability to generalize to all time points.



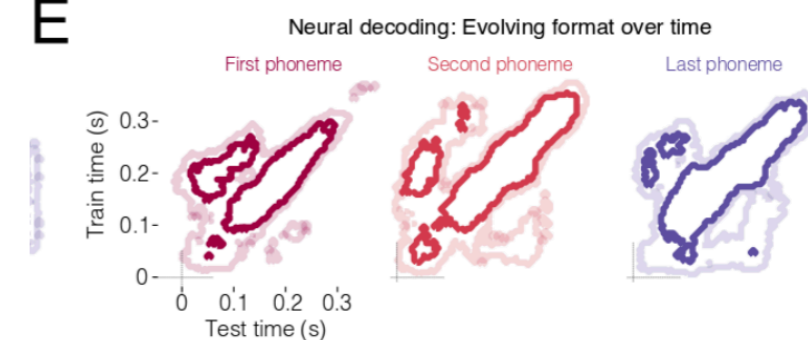
A

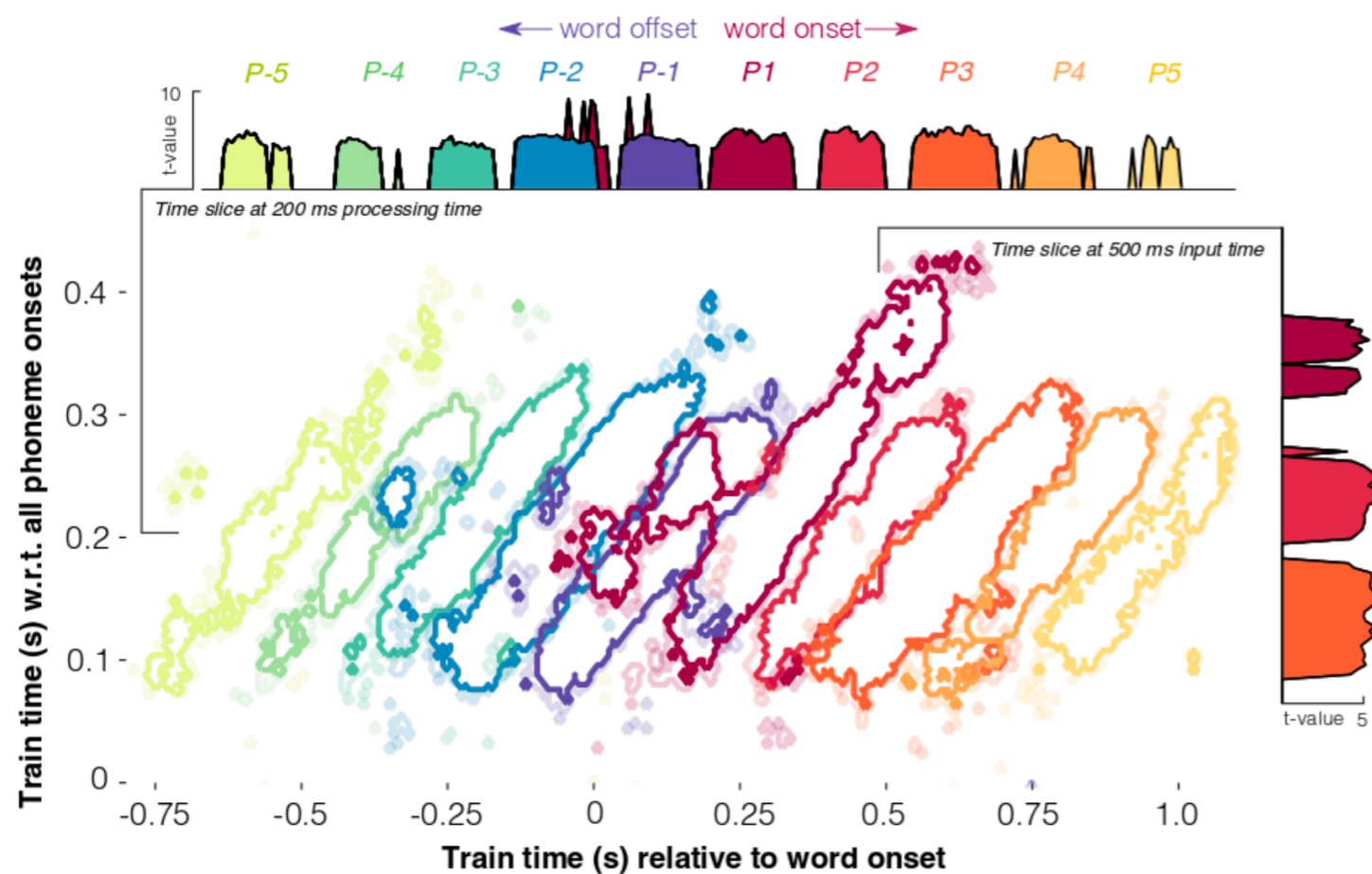


D

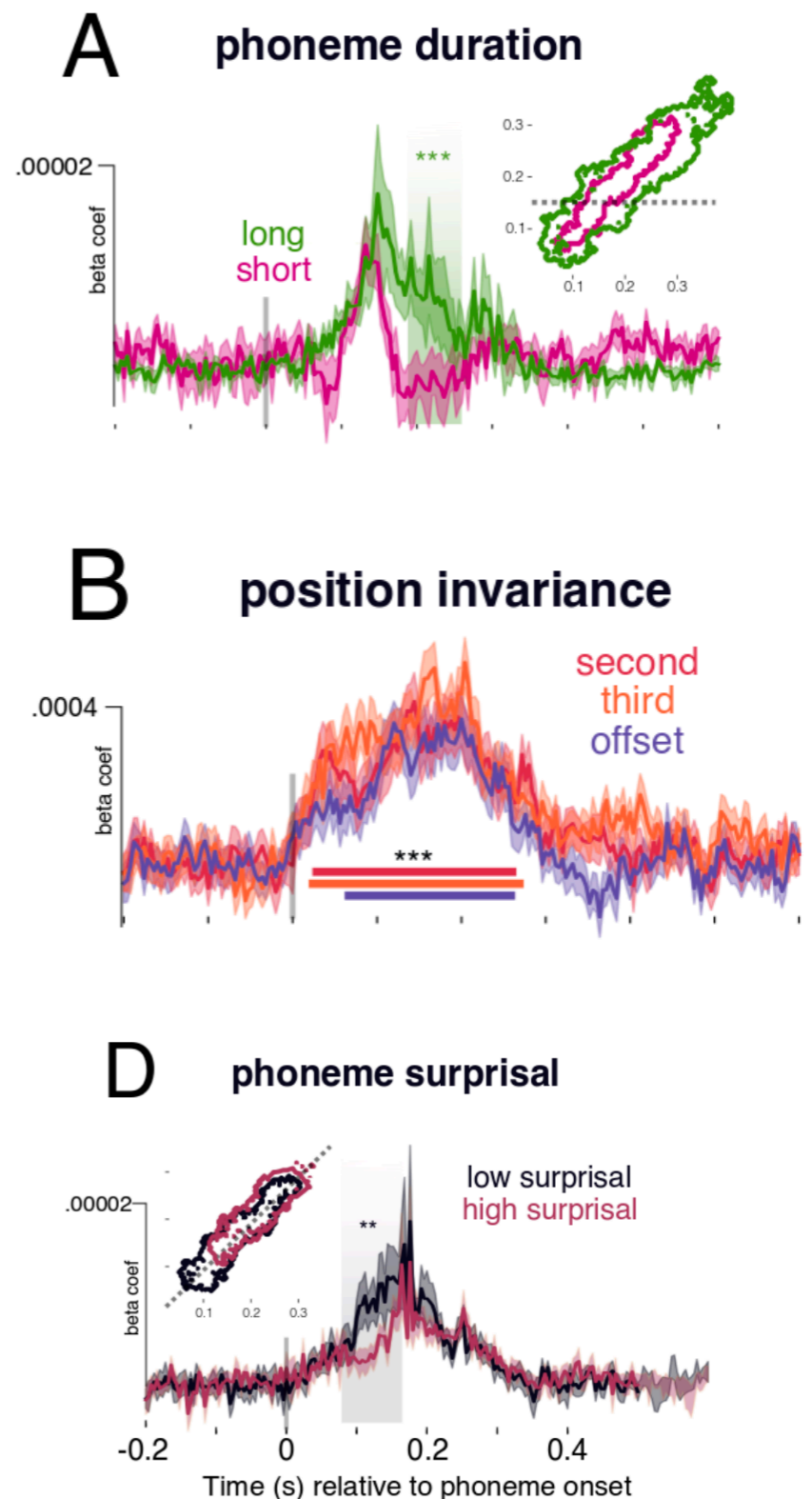


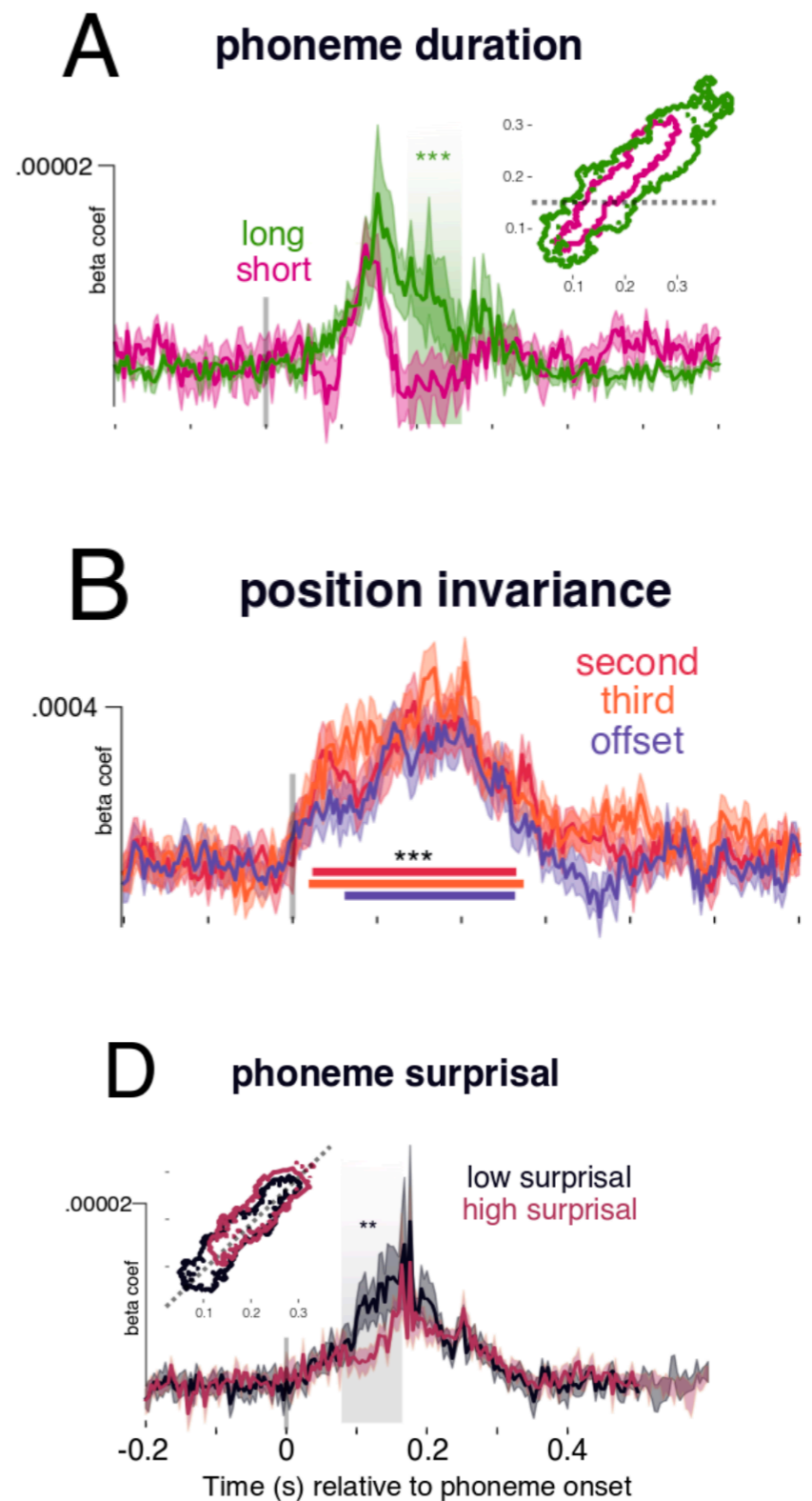
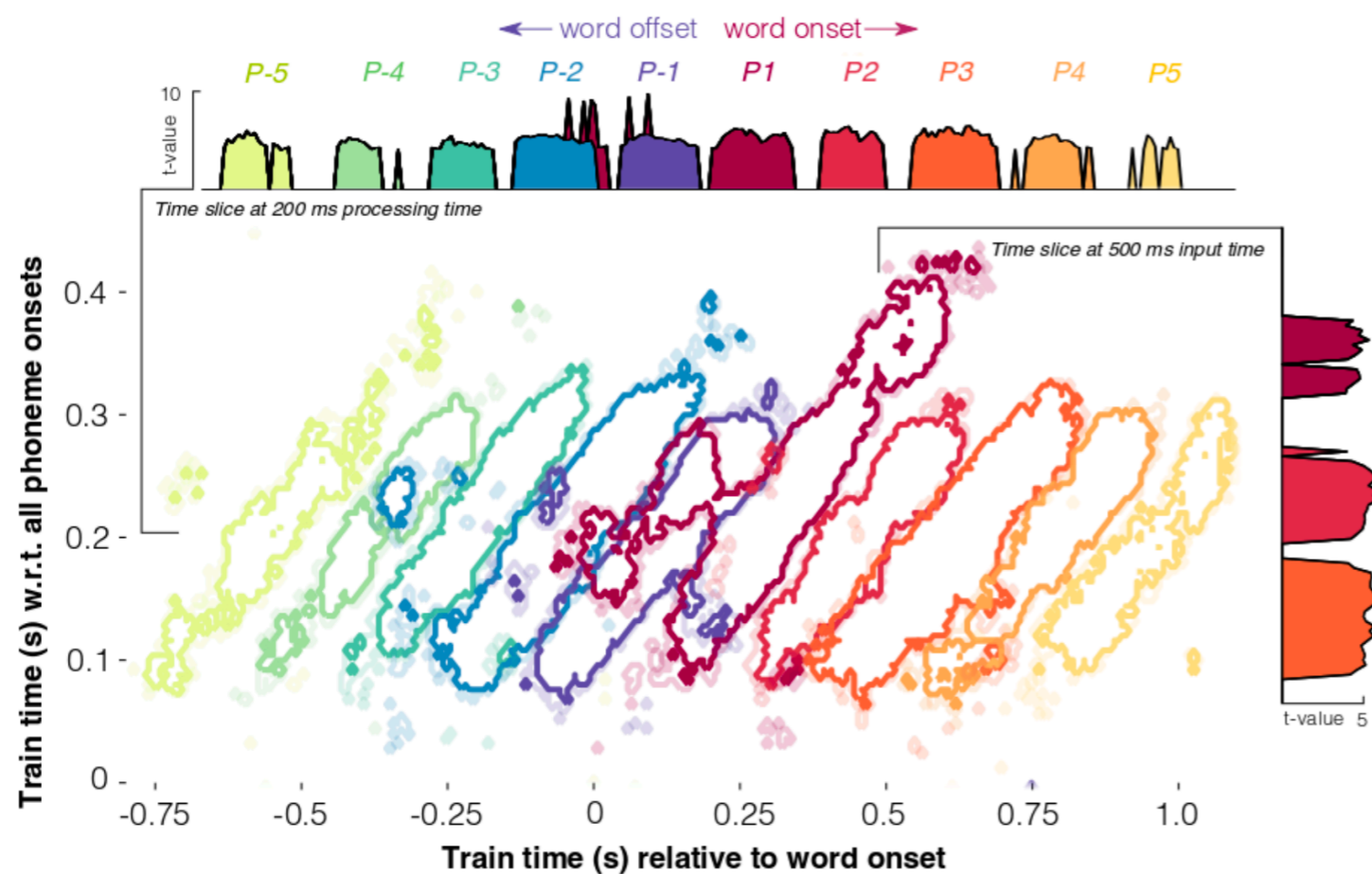
E





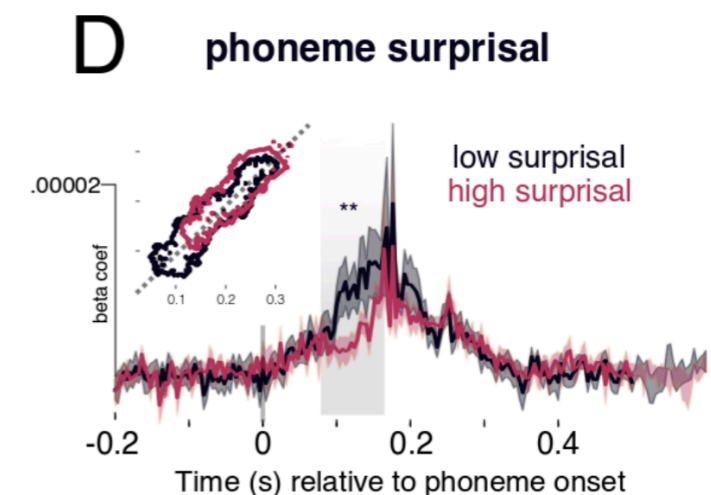
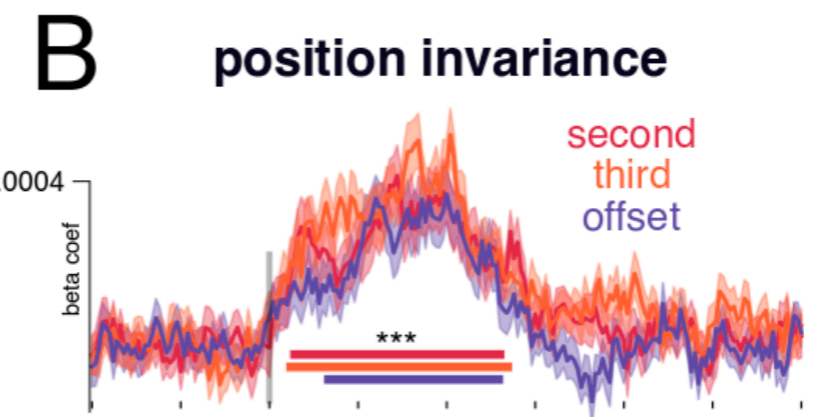
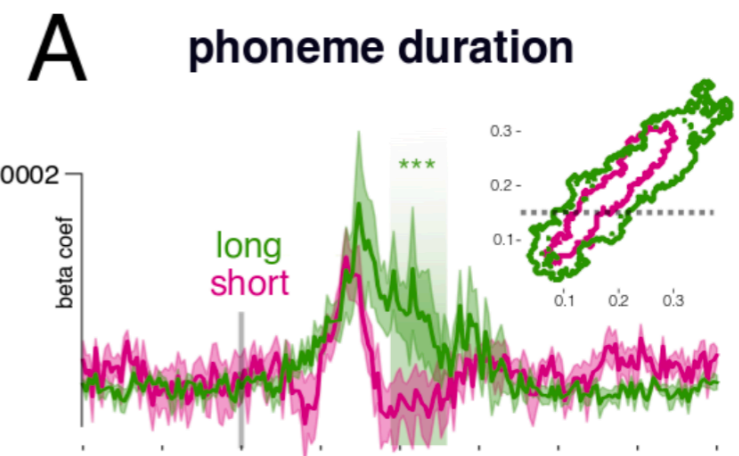
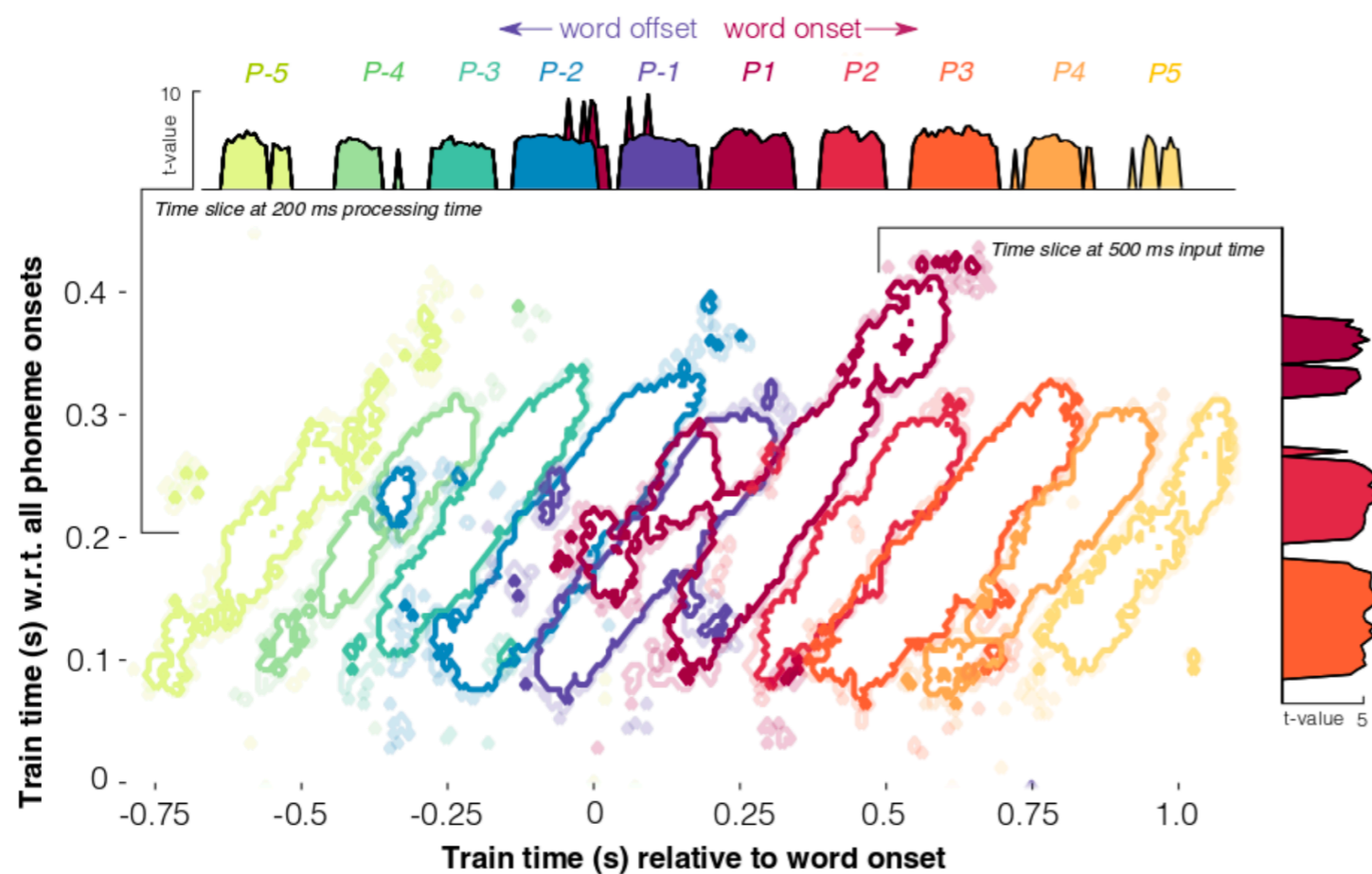
A: short phonemes have narrower diagonal shape -> The brain representation of short phonemes evolves faster





B: Classifier trained on first phoneme good at decoding the second, third and last phonemes -> evidence for position-invariant neural representations

Gwilliams et al. (under review?)



C: more surprising (= less predictable) phonemes have later onset of decodable time region -> evidence for phoneme prediction?

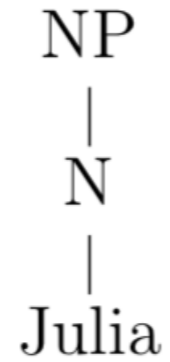
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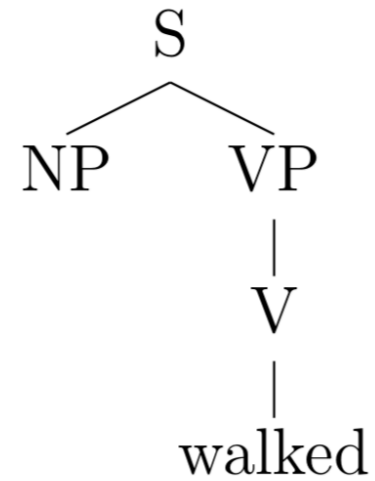
# Tree adjoining grammar

Basic unit: Elementary trees

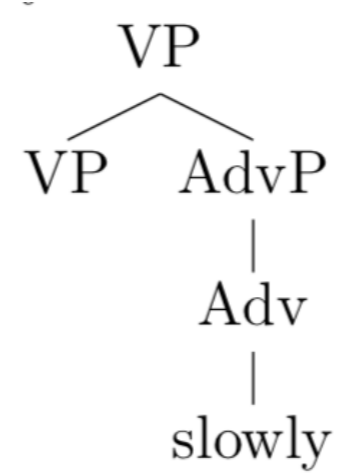
(1)



(2)

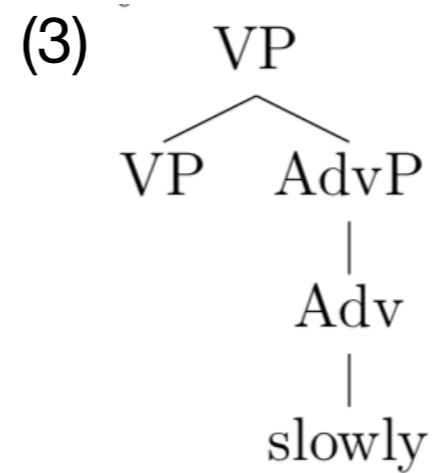
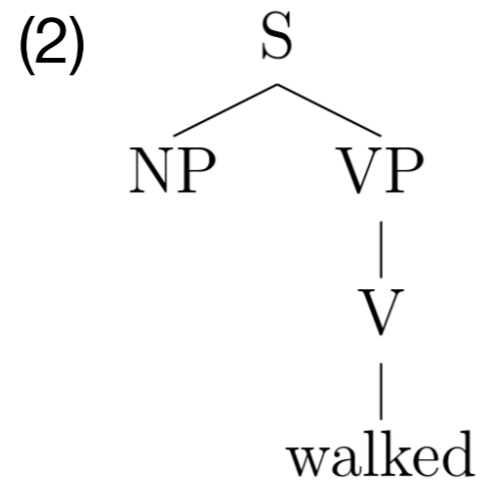
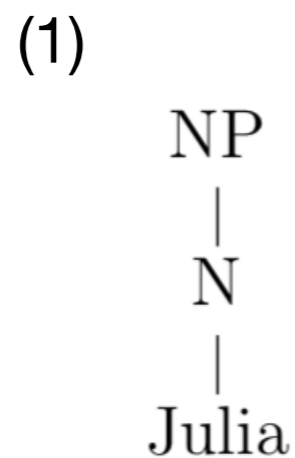


(3)



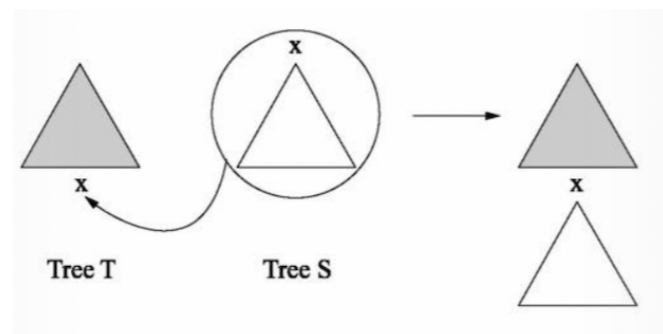
# Tree adjoining grammar

Basic unit: Elementary trees



Combinatorial operations

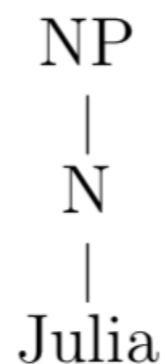
Substitution



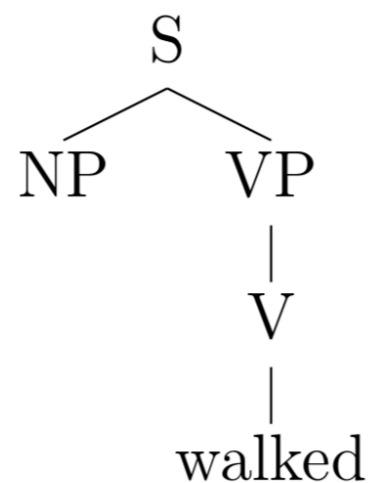
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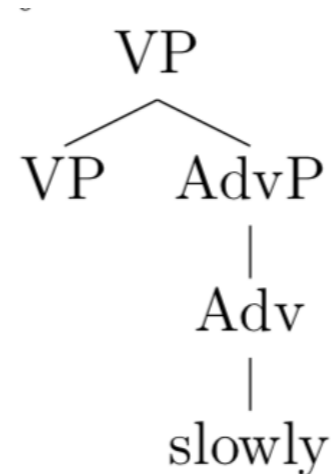
(1)



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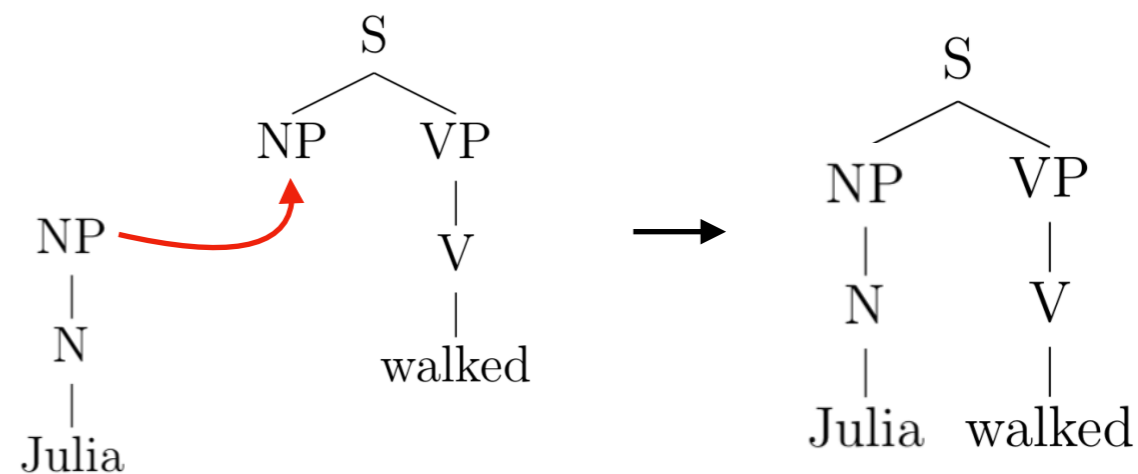
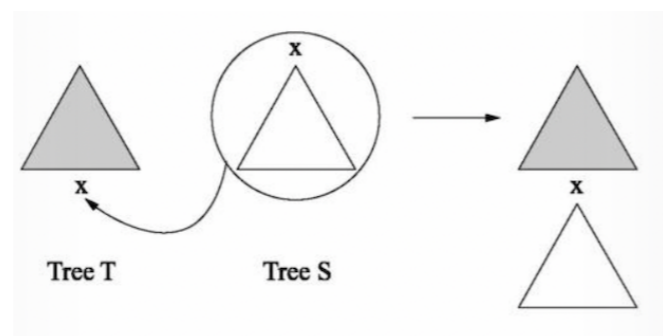


(3)



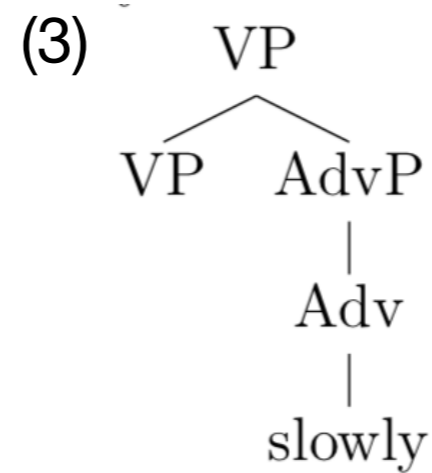
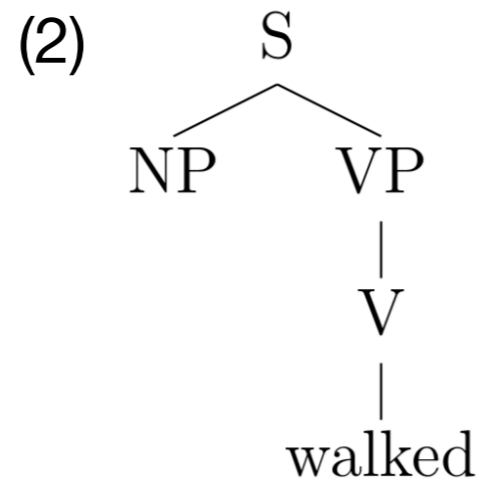
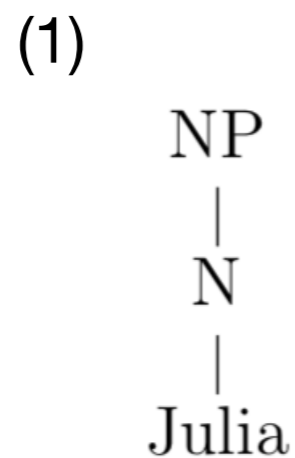
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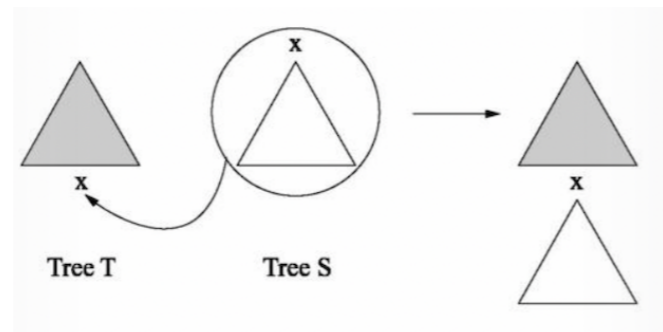
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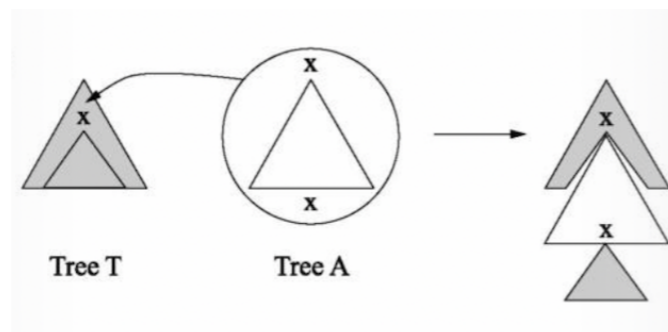


Combinatorial operations

Substitution



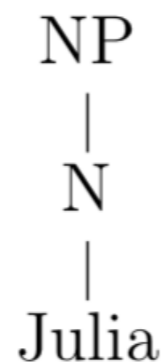
Adjoining



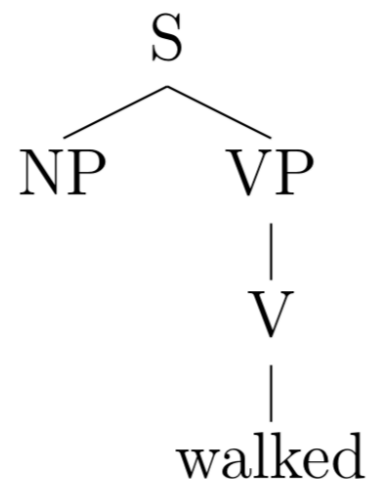
# Tree adjoining grammar

## Basic unit: Elementary trees

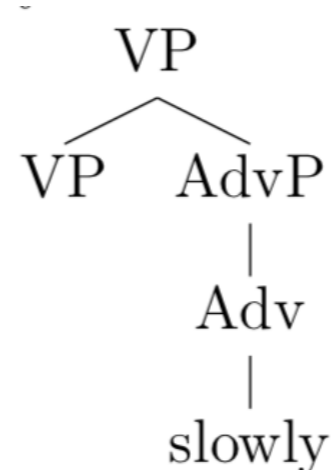
(1)



(2)

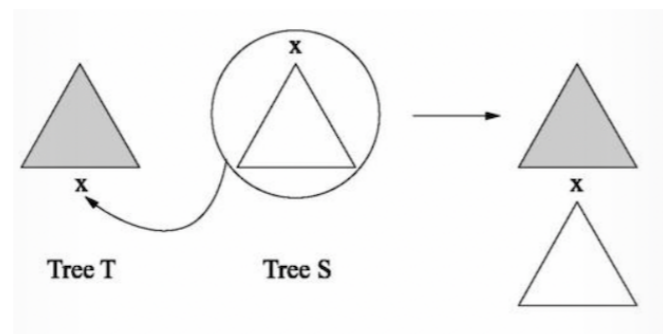


(3)

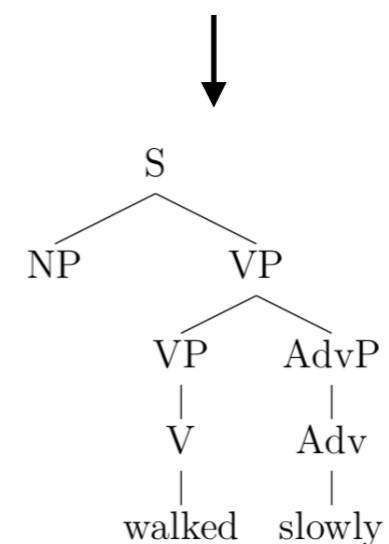
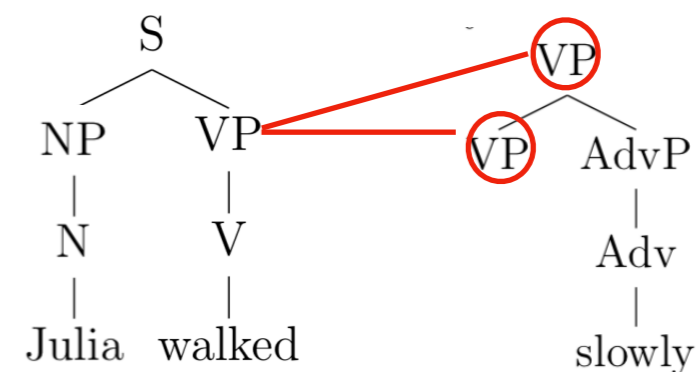
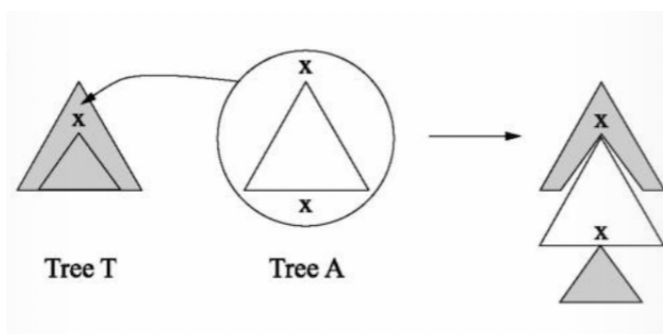


## Combinatorial operations

### Substitution

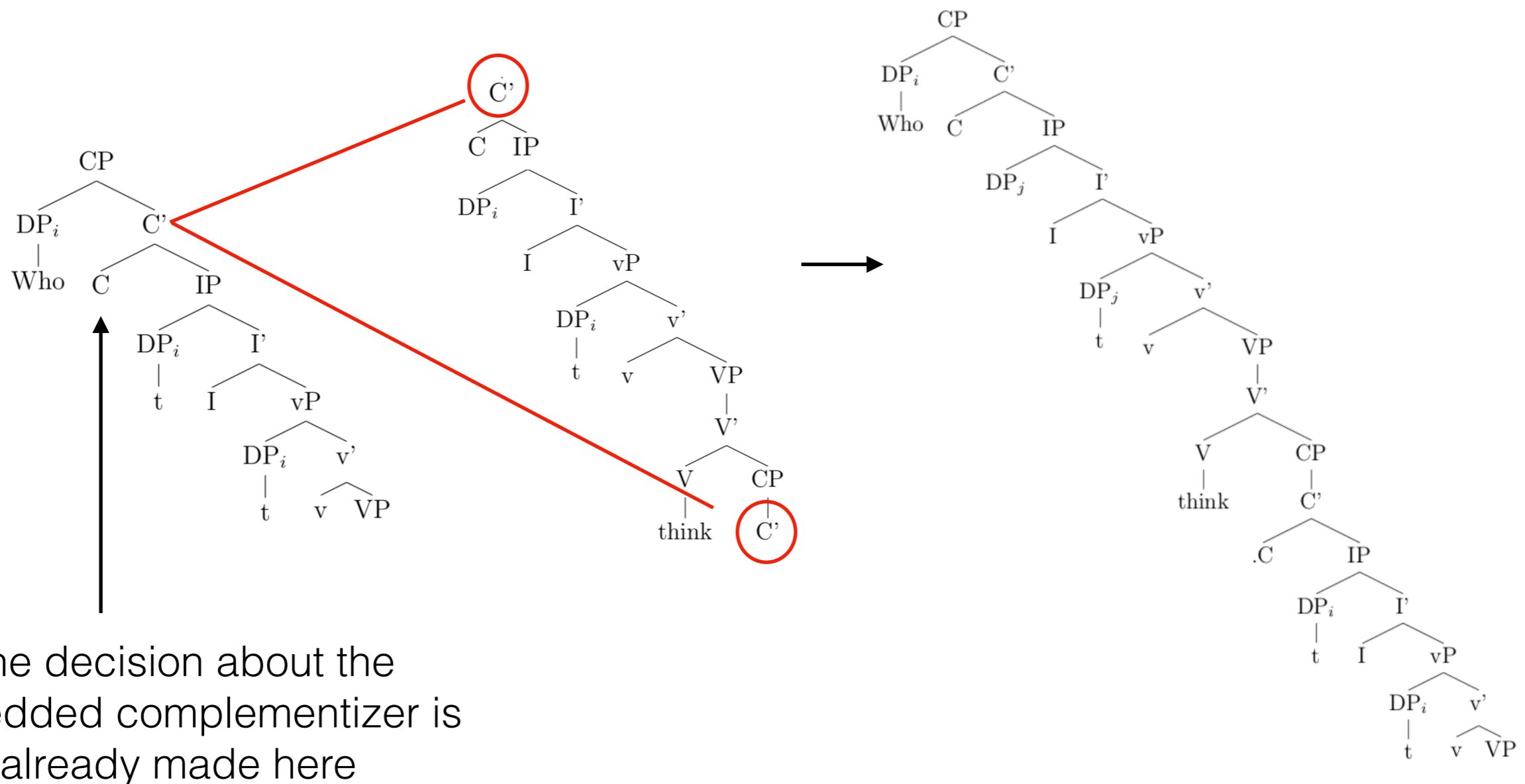


### Adjoining



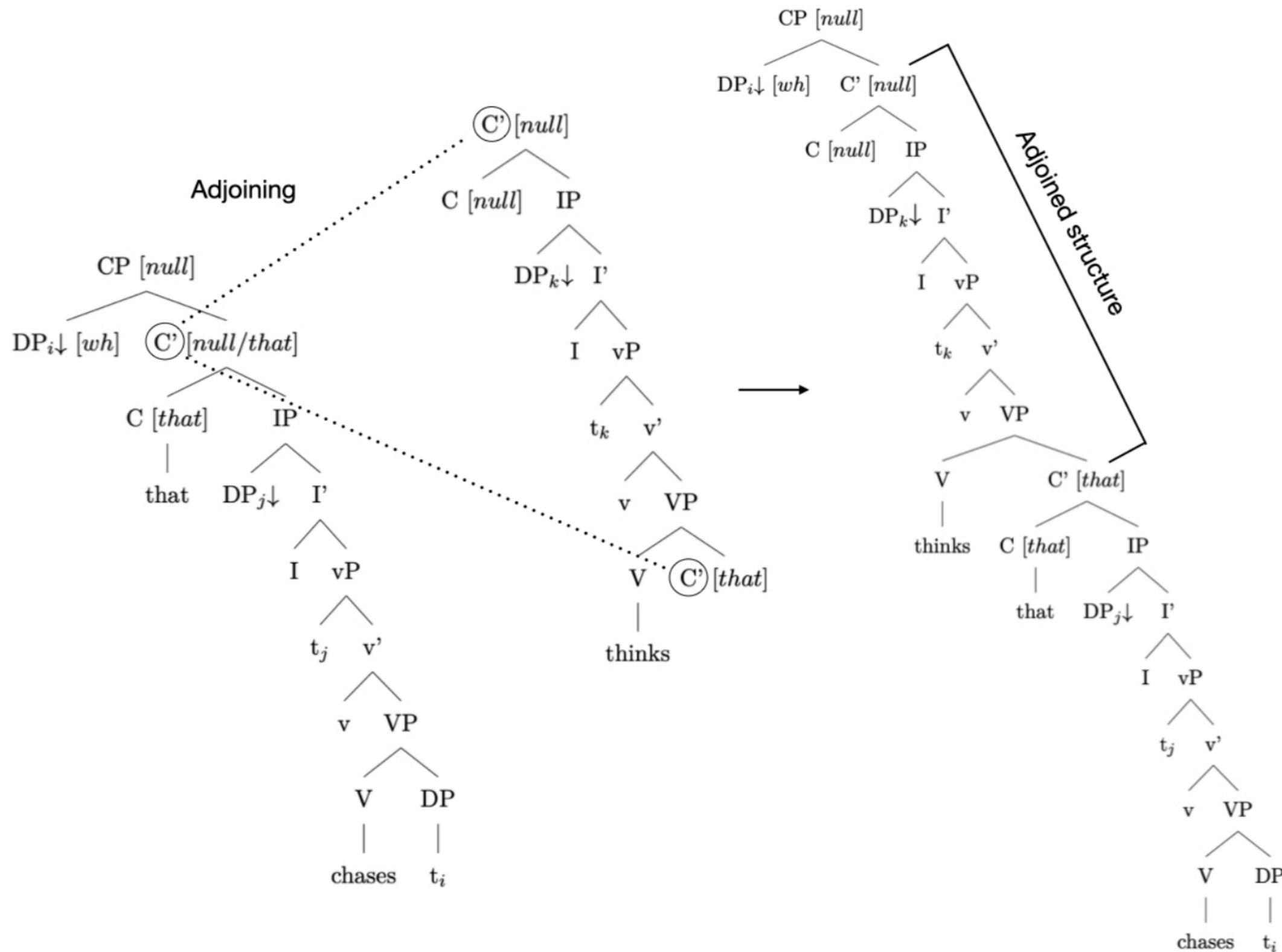
# Tree adjoining grammar

Empirical generalization: Speakers plan gap structures as soon as they represent the filler (and later insert the materials in between).



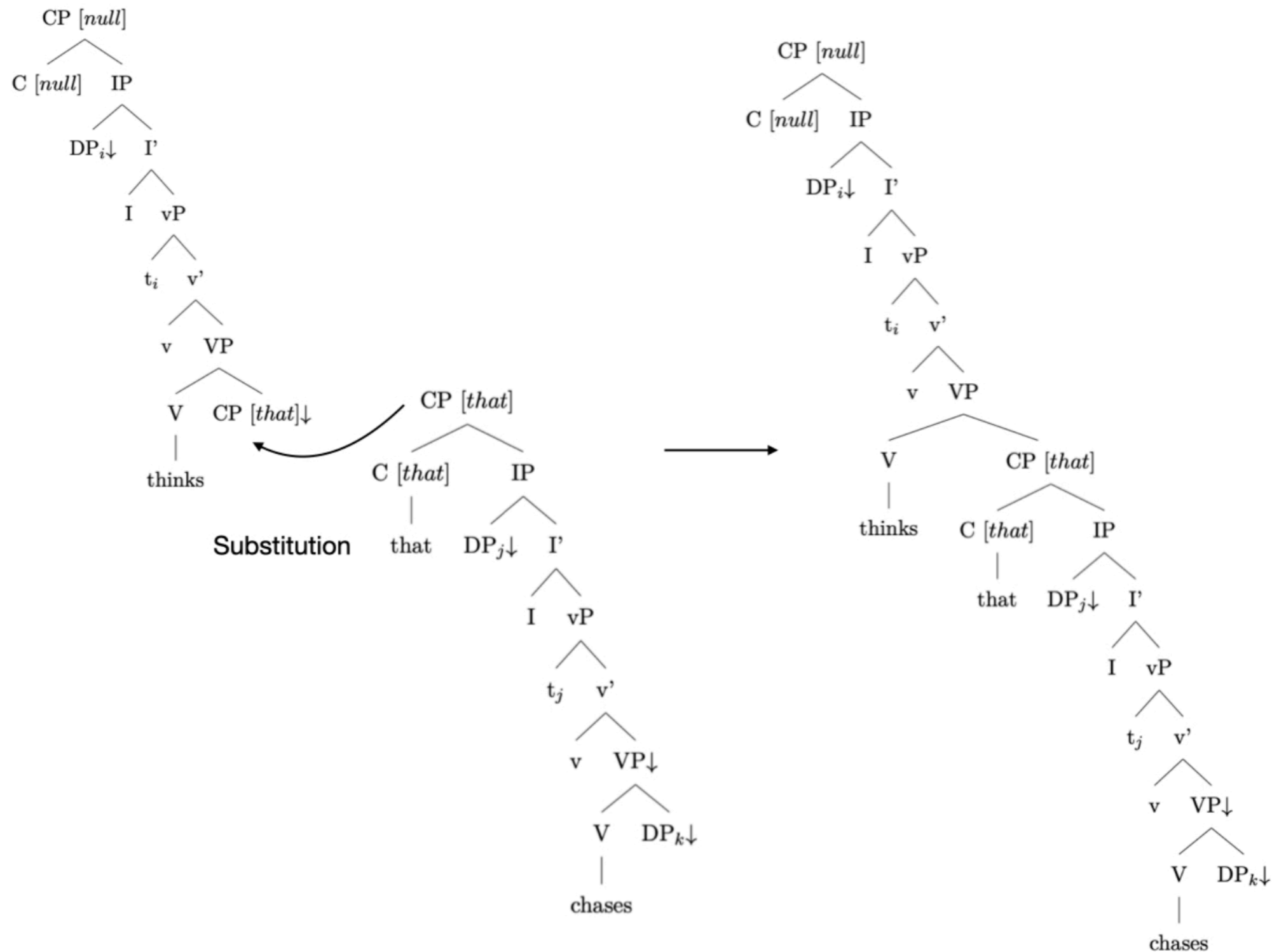
# Filler-gap dependencies in TAG

Who does the girl think the dog chased \_\_?



# Filler-gap dependencies in TAG

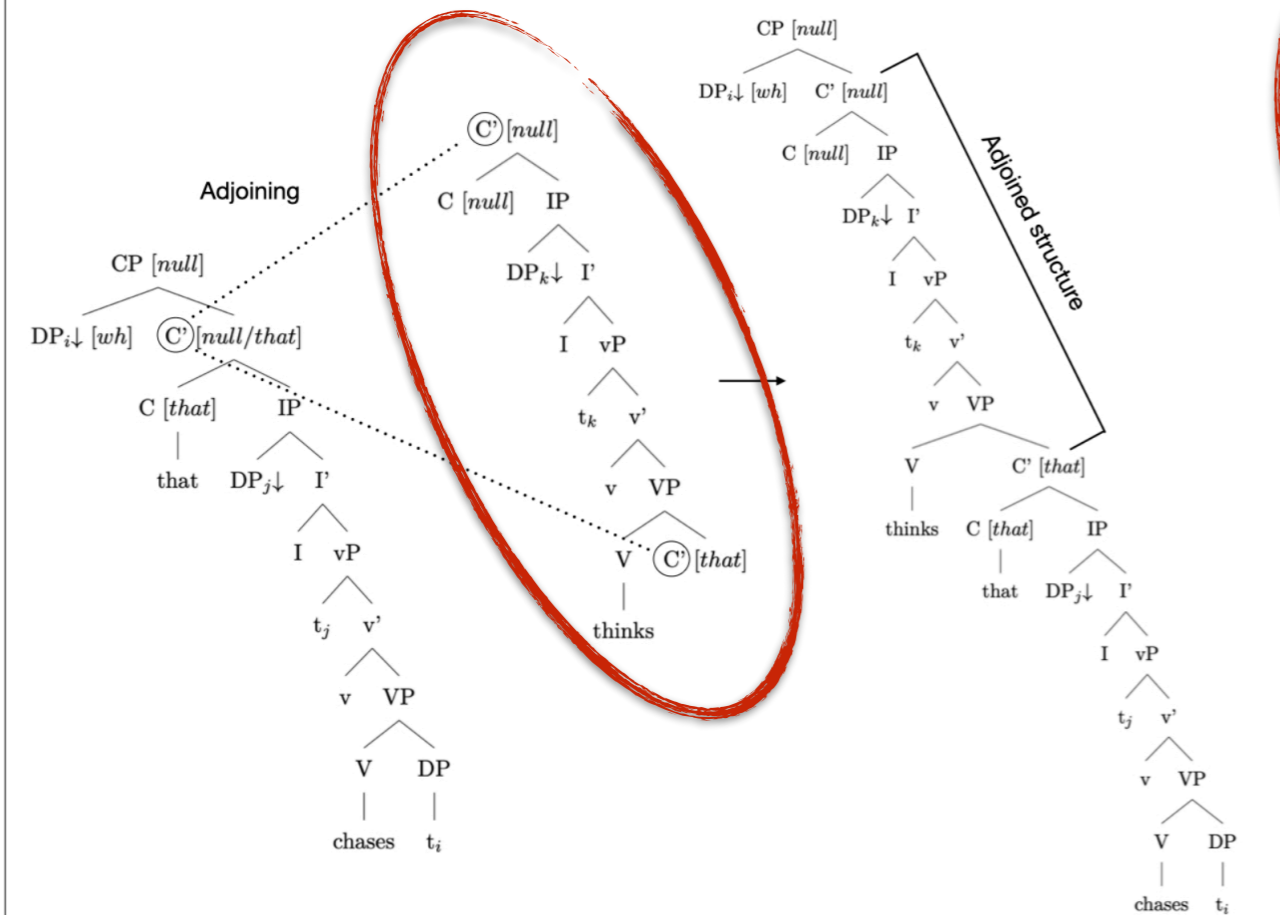
Who \_\_ thinks that the dog chases the cat?



# Filler-gap dependencies in TAG

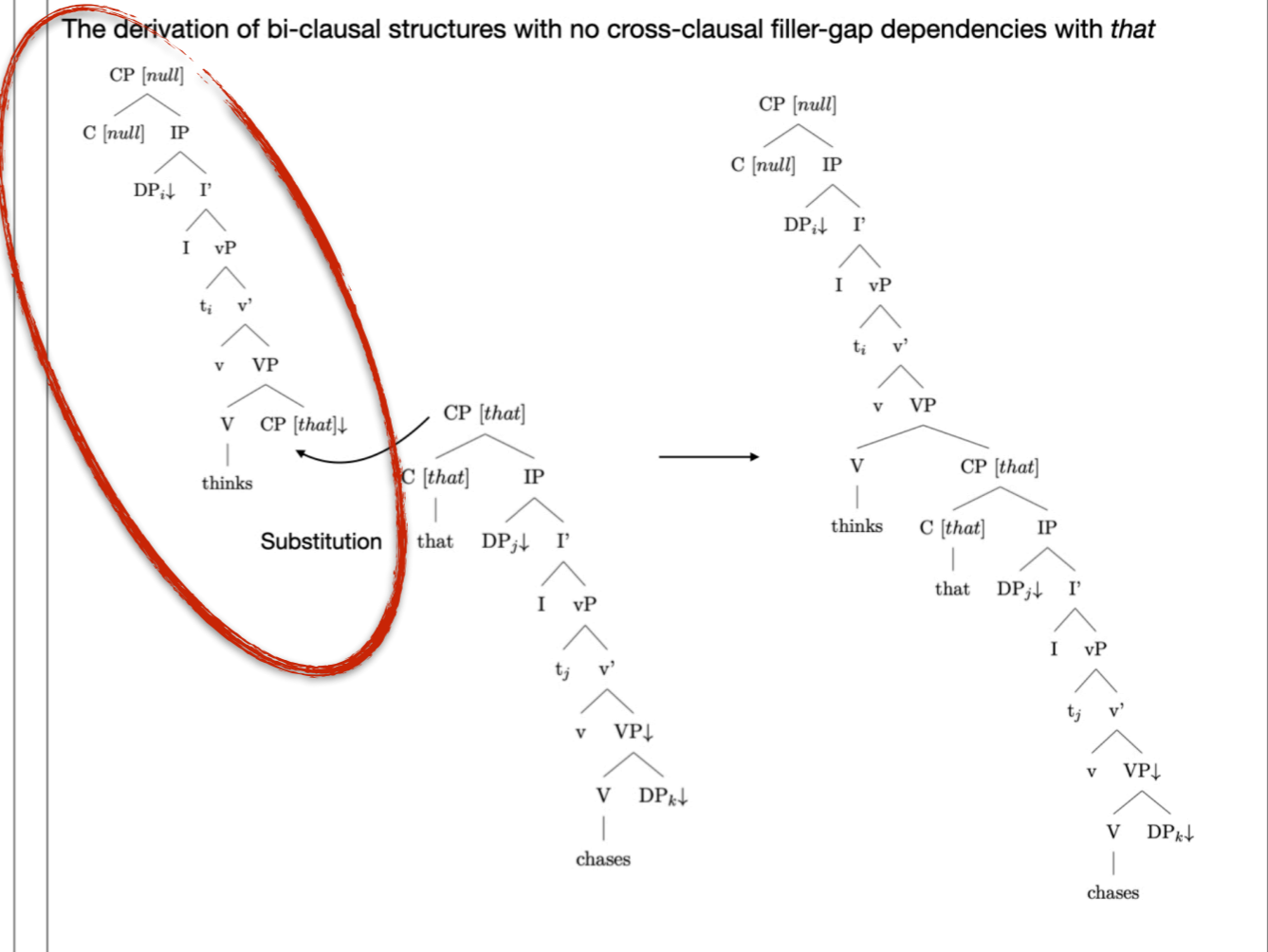
## Adjoining

The derivation of cross-clausal filler-gap dependency structures with *that*



## Substitution

The derivation of bi-clausal structures with no cross-clausal filler-gap dependencies with *that*



Two distinct elementary trees, both headed by *think* but one for adjoining, the other for substitution.

Both elementary trees may be primed by repetition, but they don't prime each other.

# Filler-gap dependencies in TAG

Adjoining requires a structural representation (***elementary tree***) that contains *think* and *that* specifically used for adjoining.

When a sentence does not contain a cross-clausal filler-gap dependency, an elementary tree that contains *think* and *that* is distinct from the one used for adjoining.

**Prediction**: elementary trees containing verbs like *think* and *that* can be primed, but only when both prime and target sentences contain a cross-clausal filler-gap dependency or when neither does.

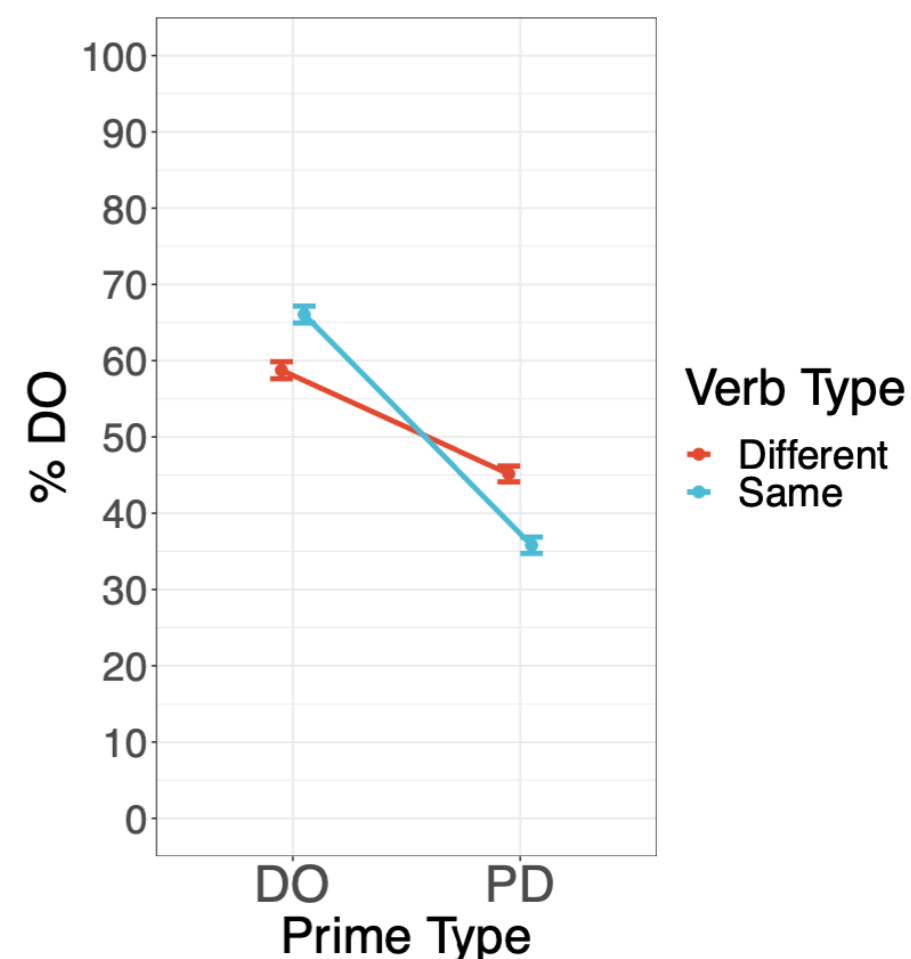
# Structural priming and lexical-boost

The magnitude of structural priming effect can be increased by repeating a head of the primed structure (Pickering & Branigan, 1998; cf. Scheepers et al. 2017 but see Calminati et al. 2019).

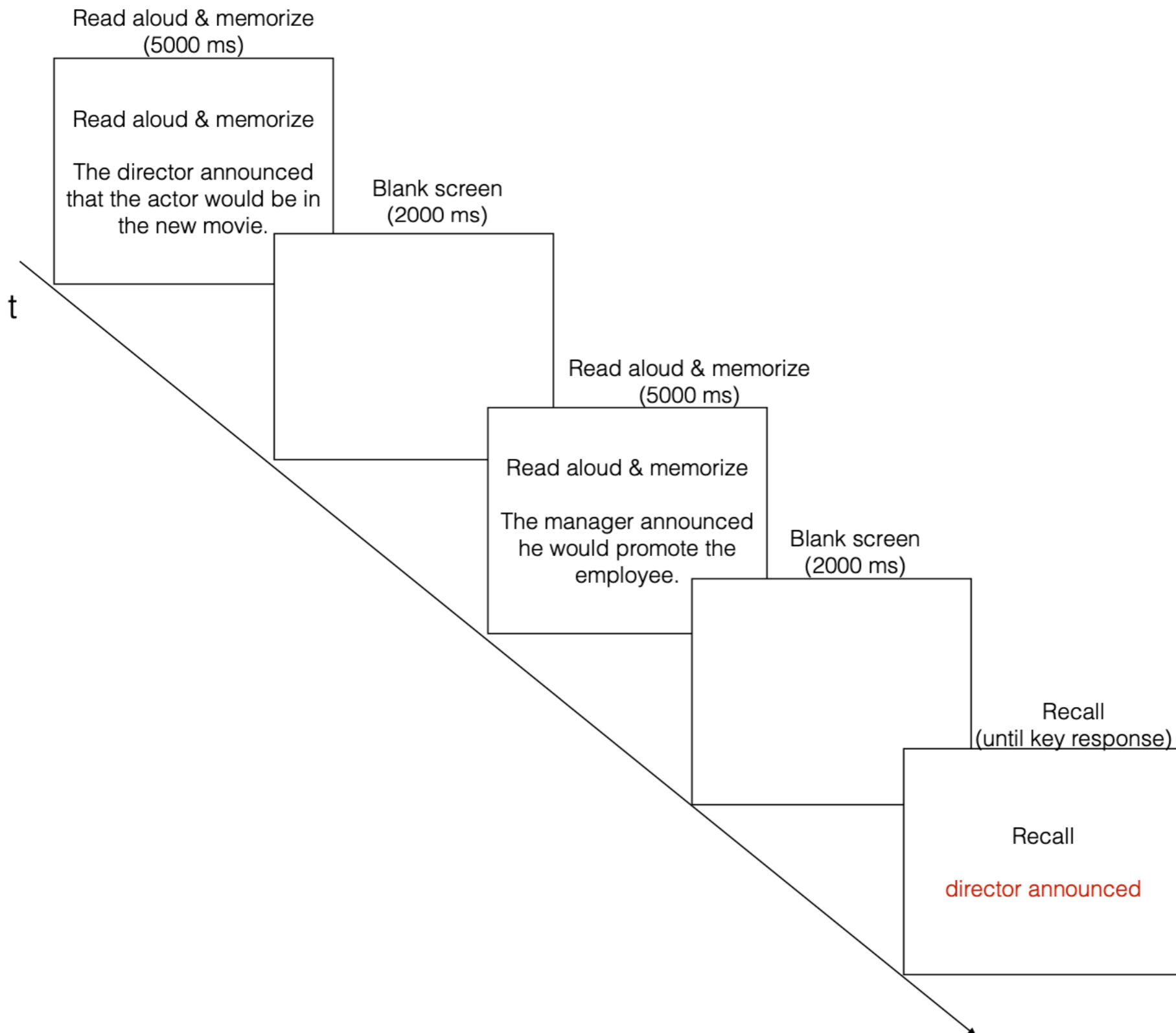
Using dative priming as an example:

If target sentences contain 'give'...

Prime	Prime Type	Verb Type
The girl gave the boy the book.	DO	Same
The girl showed the boy the book.	DO	Different
The girl gave the book to the boy.	PD	Same
The girl showed the book to the boy	PD	Different



# Task



# Design & Prediction

Exp. #	Prime	Target
Exp. 1	The manager {announced   implied} {that   $\emptyset$ } he would promote the employee.	The director announced that he would nominate the actor.
Exp. 2	Who <sub>i</sub> did the manager {announce   imply} {that   $\emptyset$ } he would promote t <sub>i</sub> ?	The director announced that he would nominate the actor.
Exp. 3	Who <sub>i</sub> did the manager {announce   imply} {that   $\emptyset$ } he would promote t <sub>i</sub> ?	Who did the director announce that he would nominate t <sub>i</sub> ?
Exp. 4	Who <sub>i</sub> t <sub>i</sub> {announced   implied} {that   $\emptyset$ } the manager would promote the employee?	Who did the director announce that he would nominate t <sub>i</sub> ?
Exp. 5	The manager {announced   implied} {that   $\emptyset$ } he would promote the employee.	I wonder who <sub>i</sub> the director announced that he would nominate t <sub>i</sub> ?

Cross-clausal FG dependencies?

Neither

Only prime

Both

Only target

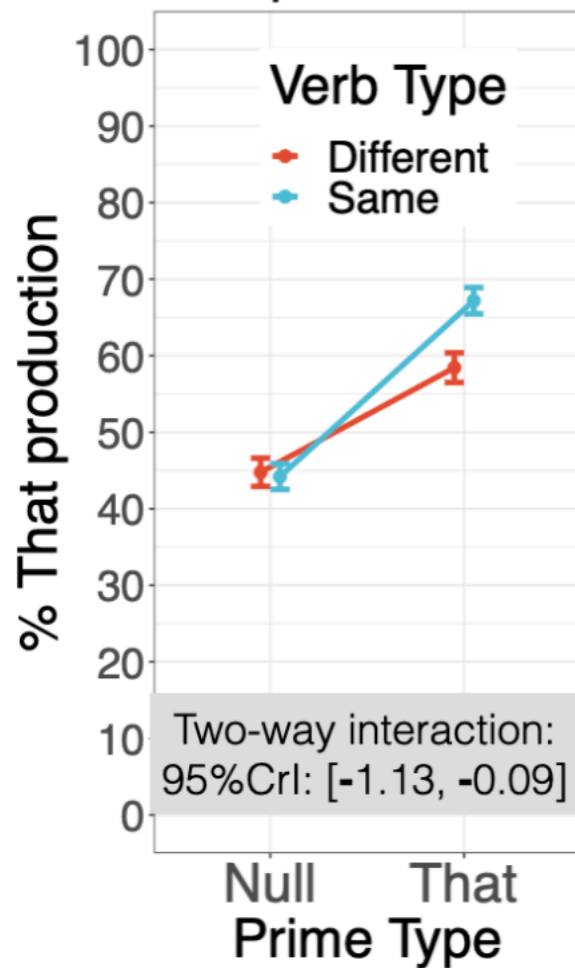
Only target  
(emb. wh-q)

**Prediction**: the lexical boost effect should be observed only when both prime and target contains a cross-clausal filler-gap dependencies (Exp. 3), or when neither does (Exp. 1)

# Results

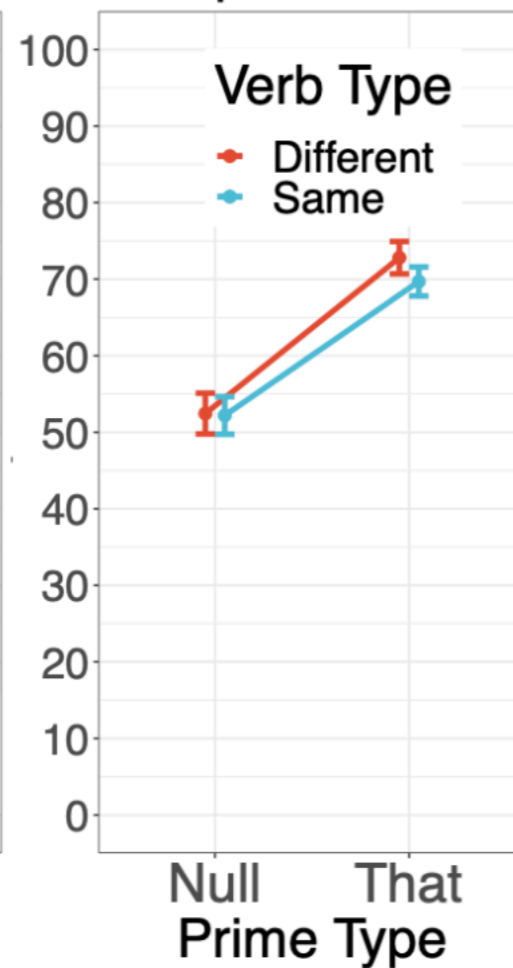
Neither

**Lexical boost  
= 9.3%  
Experiment 1**



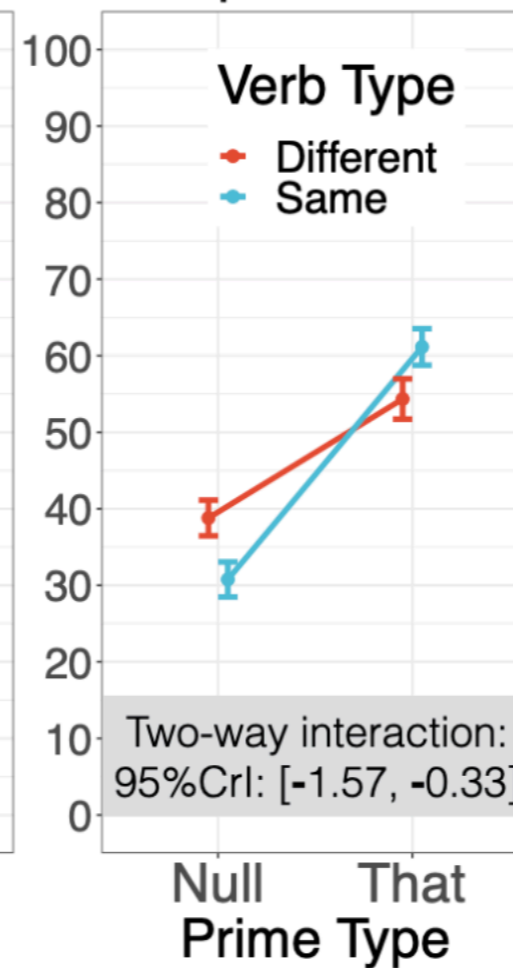
Only prime

**Lexical boost  
= - 2.9%  
Experiment 2**



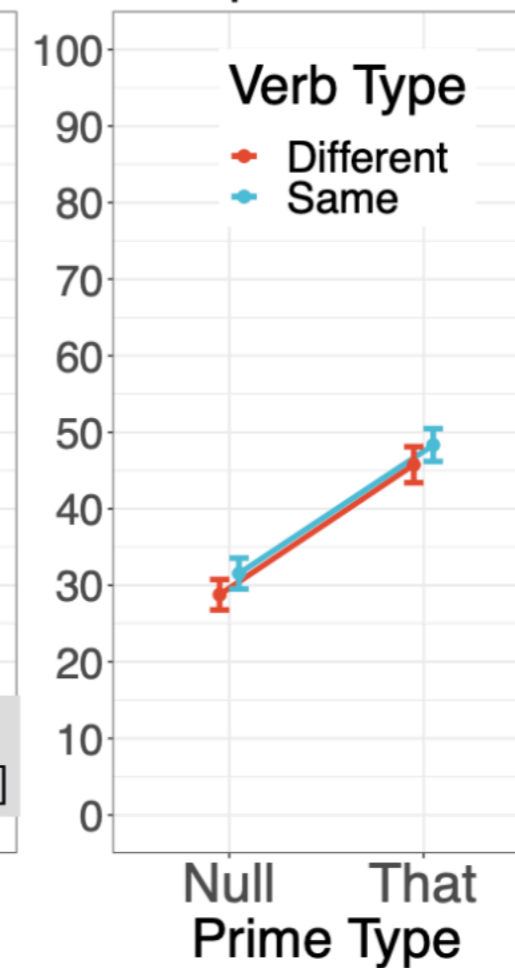
Both

**Lexical boost  
= 14.8%  
Experiment 3**



Only target

**Lexical boost  
= - 0.2%  
Experiment 4**



Only target  
(emb. wh-qs)

**Lexical boost  
= - 1.0%  
Experiment 5**

